Sarimay-Dzhankeldy Transmission -Sarimay Substation Expansion

Stakeholder Engagement Plan

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List of Abbreviations

| Acronym | Definition | | | |
|----------|--|--|--|--|
| AOI | Area of influence | | | |
| CLO | Community Liaison Officer | | | |
| EBRD | European Bank for Reconstruction and Development | | | |
| EIA | Environmental Impact Assessment | | | |
| ESIA | Environmental and Social Impact Assessment | | | |
| FGD | Focus Group Discussions | | | |
| GBVH | Gender Based Violence & Harassment | | | |
| GM | Grievance Mechanism | | | |
| JE | Juru Energy | | | |
| KII | Key Informant Interviews | | | |
| LILO | Line-in Line out | | | |
| MHRUz | Ministry of Health of Uzbekistan | | | |
| NEGU | National Electric Grid of Uzbekistan | | | |
| NGO | Non-governmental Organisation | | | |
| OHTL | Overhead transmission line | | | |
| PAP | Project Affected People | | | |
| PR | Performance Requirements | | | |
| ROW | Right of Way | | | |
| S-D OHTL | Sarimay- Dzhankeldy OHTL | | | |
| SEA | Sexual Exploitation and Abuse | | | |
| SEP | Stakeholder Engagement Plan | | | |
| SS | Substation | | | |
| SwS | Switching Station | | | |

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1. Introduction and Project Summary

1.1 Overview and Project background

The European Bank for Reconstruction and Development (the "EBRD" or the "Bank") is considering providing a loan to finance the construction of a 500 kV Sarimay- Dzhankeldy overhead transmission line between the existing Sarimay substation (SS) (Khorezm region) and the planned Dzhankeldy SS (Bukhara region) (Lot 1) (see Figure 1); and a related 10 km 500 kV Line-in Line out (LILO) OHTL (Lot 2) from the Bash switching station (SwS) (under development) to the 220 kV/500 kV Muruntau-Navoi OHTL (currently under construction)^{1,2.} Together Lot 1 and Lot 2 are referred to as the S-D OHTL or the "Project". The project also includes a 14.6 ha / 146,000 m² expansion to the existing Sarimay SS expansion works on flat terrain adjacent to the existing Sarimay 220kV/500kV SS.

The Project's main purpose is to facilitate the evacuation to the national power grid of the electricity generated by renewable energy power plants under development in the Bukhara and Navoi regions. Implementation of the Project will also significantly improve the transmission network's reliability, efficiency, stability, and quality and security of the electricity supply.

EBRD PR1, Appendix 2: (para 24) makes specific reference to "construction of high voltage overhead electrical power lines" as a project with the potential to generate significant adverse E&S impacts. Considering Appendix 2 and the S-D Scoping report, the Project is categorised as Category "A". Category A projects require a comprehensive Environmental and Social Impact Assessment (ESIA) and review of associated documents, followed by public disclosure of key documents for a minimum period of 120 days. This requirement aligns with the EU EIA Directive requirements for Annex I projects.

The Project's proponent is the Joint Stock Company National Electric Grid of Uzbekistan (JSC NEGU). JSC NEGU will be responsible for construction and implementation of the Project.

In 2022, EBRD has appointed Juru Energy Ltd. (JE) as the Environmental and Social Impact Assessment (ESIA) Consultant for the Project following EBRD Environmental and Social Policy 2019 (ESP 2019) and supporting Performance Requirements (PRs), and specifically PR10: Information Disclosure and Stakeholder Engagement. These documents are disclosed and available at the following link <u>Sarimav-Diankeldy Transmission (EN and RU)</u>. In 2025 EBRD requested Juru to undertake an ESDD and site assessment for the Sarimay SS Expansion works, including updating this SEP to reflect this additional scope.

This Stakeholder Engagement Plan (SEP) has been prepared on behalf of JSC.NEGU to maintain and guide stakeholder engagement over the lifetime of the Project. This SEP is

¹ The 220kV/500kV Navoi to Muruntau SS OHTL is currently in the early stages of construction and is also being financed by EBRD. ² The Murantau SS and the 500kV Navoi to Murantau/Besopan OHTL are considered associated facilities (as defined by EBRD PR1, para 9) and have already been subject to ESIA following EBRD ESP 2019 by third party entities. The Dzhankeldy wind farm (WF) (developed by private third party) and the Bash WF (developed by private third party) that will be connected to the Bash SwS and Dzhankeldy SS respectively are not solely dependent on the Project, nor do they directly or indirectly influence the project. As such

currently focusing on engagement during the ESIA phase, but will remain a live document and is subject to further updates and guidance during the construction and operations phases.

The national Environmental Impact Assessment (EIA) for the overhead line works has been performed, and a positive Environmental Conclusion was obtained. The Project is considered a Category I (high risk) under the national legislation (see section 3.2) and Category A under EBRD requirements (see section 3.3).

A national Environmental Impact Assessment (EIA) for the Sarimary SS Expansion has been conducted, and a positive Environmental conclusion has been received (April 2024). The Sarimay SS project is categorised as Category III under national EIA regulation (low risk).

Stakeholder engagement in this SEP has been planned in line with Uzbek legislation for Category I projects and EBRD requirements for Category A projects.

This SEP is organised by chapters that cover:

- Project location;
- Summary of Uzbek and EBRD requirements for consultation and disclosure;
- Summary of previous stakeholder engagement;
- Identification and analysis of stakeholders and types of consultation activities;
- Timing of future stakeholder engagement;
- Responsibilities for and implementation of engagement;
- Project grievance mechanism; and
- Monitoring and reporting.

1.2 Project location

The S-D OHTL is split into two lots (together Lot 1 and Lot 2 are referred to as the "Project").

- Lot 1 500 kV Sarimay- Dzhankeldy OHTL (S-D OHLT) approximately 127 km OHTL located in the southwestern part of the Kyzyl-Kum Desert.
- Lot 2 500 kV Navoi TPP Bash SS Murantau SS LILO OHTL (N-B-M LILO) approximately 10 km in length, split between two 5 km sections for a LILO connection from the 500kV Bash SS (under development) to the 500 kV Murantau-Navoi OHTL (under construction).

Lot 1 starts from the existing Sarimay Substation (Khorezm province), which is located close to Nukus village (300 m) and Sarimay village (4.3 km), to the planned Dzhankeldy substation passing close to the villages of Kalaata and Dzhankeldy through an unmodified area of Kyzilkum district. This route crosses Turtkul district of the Republic of Karakalpakstan, Tuprokkala district of Khorezm region and Peshku district of Bukhara region (Figure 1). The Lot 1 section of OHTL runs from the existing Sarimay SS, which is located in Tuprokkala district and the planned Dzhankeldy SS located in Peshku district.

The proposed Sarimay SS expansion works are planned on a 14.6 ha / 146,000 m2 area of vacant flat terrain adjacent to the existing Sarimay 220kV/500kV SS near the village of Sarimay, Tuprokkala district in the Khorezm region. The original substation began commercial operation in 2017. The Site is a mixture of hard-surfaced land and soil covered with vegetation, refer to Figure 4.

Figure 1 shows the two lots in Uzbekistan and Sarimay SS Expansion site.

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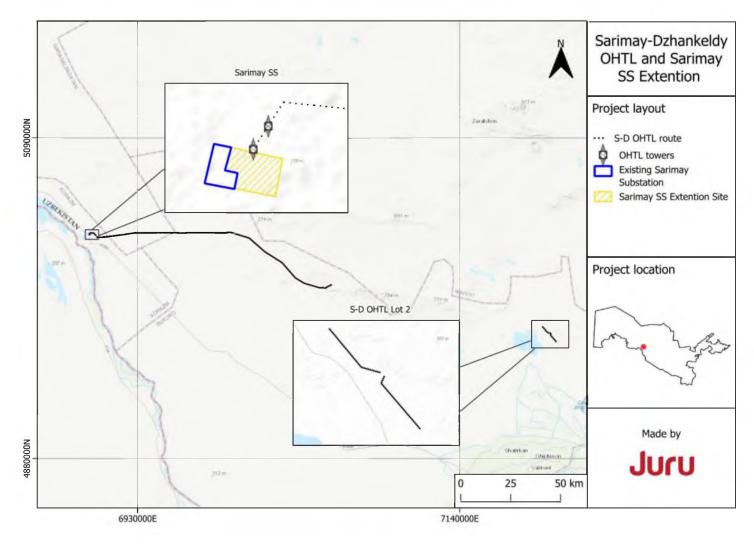


Figure 1: Project Location 500 kV S-D OHTL Project.

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Figure 2 provides an overview of the Lot 1 OHTL and the communities that are located near to the Project.

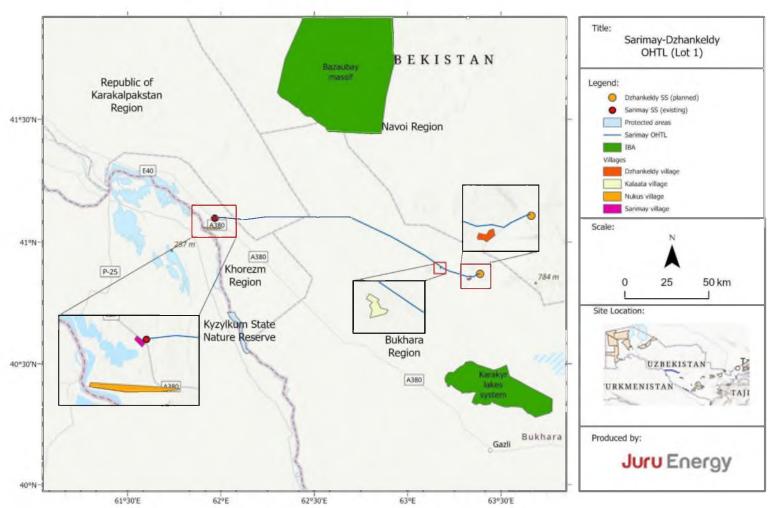
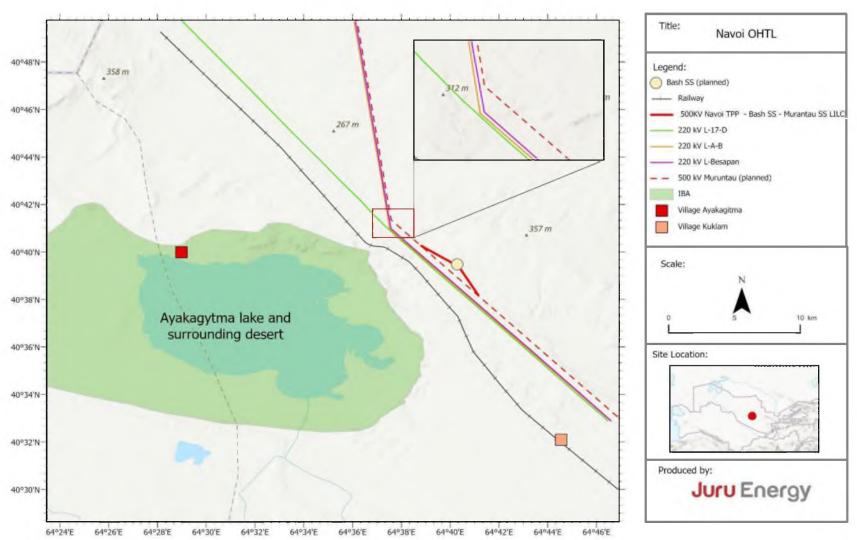


Figure 2 Project Location of S-D OHTL (Lot 1) (Source: Juru Energy)

Lot 2 is entirely located in Gijduvan district of Bukhara province (Figure 3).



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Figure 3: Project Location N-B-M LILO (Lot 2) (Source: Juru Energy)

Figure 4 provides an overview of the existing Sarimay SS (outlined in blue) and Expansion site (in yellow).

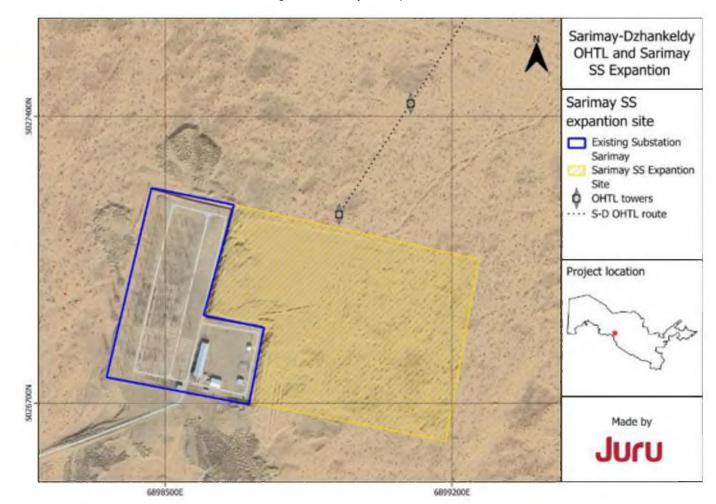


Figure 4: Sarimay SS Expansion Site

1.3 Project components

1.3.1 Concept of energy transmission

An OHTL is the structure by which electrical energy is transmitted from one location to another see Figure 5.

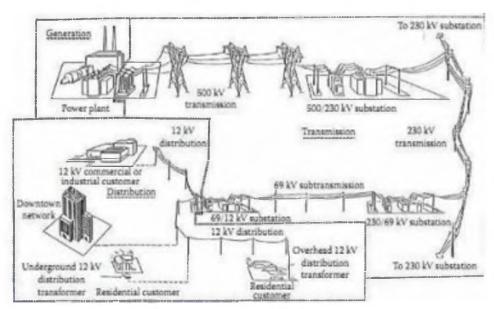


Figure 5: Concept of electric energy transmission

(Source: http://www.industrial-electronics.com/elec pwr 3e 9.html)

1.3.2 OHTL Component

The main components of an OHTL are the towers, foundations, insulators, conductors (wires), and earth wire (see Figure 6). Electrical conductors (wires), transmit the electricity and are suspended from steel towers by insulators. The towers are fixed to the ground with cement foundations or, in some cases, guy wires. The typical footprint is 5 m by 5 m (25 m2).³ Insulators isolate the towers from the live wires that carry the electricity.

- Towers are typically either suspension, angle or terminal towers that can either be selfsupporting or guyed towers with a tower height of approximately 30 to 40 m.
- Foundations are required to fix the towers in place, the foundations may be up to two meters deep, depending on geotechnical requirements.
- Conductors are the lines that string between each tower that transmit the electricity. The distance between each tower is the span; typical spans are between 300 m and 400 m.

³ Footprint is defined as the outer of the foundation columns at ground level

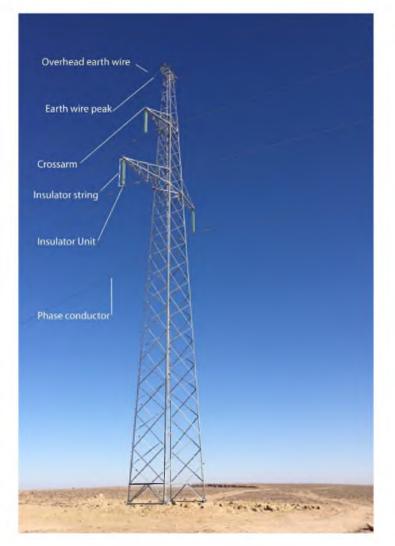


Figure 6: Components of an OHTL (Source: Juru Energy)

Related activities in support of the OHTL works will include:

- end-user works at the Sarimay SS (Lot 1);
- end-user works at the Dzhankeldy SS (Lot 1);
- end-user works at the Bash SwS (Lot 2);
- establish 60m ROW under the OHTL (including the provision of any related livelihood compensation) (Lot 1 and Lot 2); and
- upgrade existing or new roads (unsurfaced) suitable for providing access to the OHTL towers worksites (Lot 1 and Lot 2).

1.3.3 Sarimay SS Expansion Component

Active works for site preparation and levelling of the Sarimay SS expansion site are ongoing at the southern part of the Site (under instruction from NEGU, currently engaged under a separate

contract to the S-D overhead line construction works, which is ongoing adjacent to the substation).

The following equipment will be installed in the expanded SS area:

- 2 x 500 kV transformers with concrete bunds
- 13 x single-phase reactors with forced air and oil circulation 6000/500-U
- 10 cells 100 MVAr shunt reactor
- 18 current transformers
- Supporting equipment, including gantries to receive wires from the overhead lines and other electrical equipment.
- Lighting, fencing, surface drainage, and septic tank for offsite removal of foul waste.
- Landscape plans (as per national EIA).

Construction activities will include:

• Civil works consist of possible piling for new foundations, upgraded site drainage where necessary, foundation works, and bunds (where required).

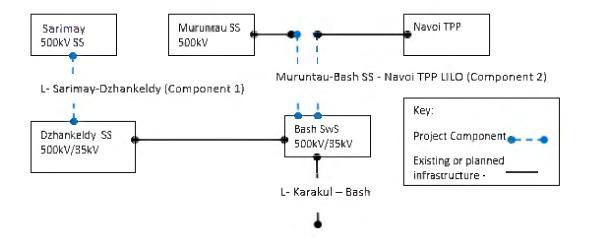
• Plant installation - involves delivering equipment to the Site (including possible abnormal loads in the form of transformers). Small cranes may be required to lift the plant into a position fixed to the foundations.

• Commissioning - electrical equipment and SCADA instrumentation systems are installed by specialist contractors in the substation operations room, where they are then commissioned to ensure they function correctly. Commissioning involves the testing of control systems.

A simplified line diagram illustrating the proposed Project Lots and their connection to the region's immediate transmission network is provided in figure below^{4:}

⁴ Other lines in the vicinity of the Bash SS are i) 220 kV line between Navoi TPP - Besopan SP (Switching Point), ii) - 220 kV line between Substation "A" - Besopan SP, dispatching name of the line - "L-A-B", iii) - 220 kV line between Navoi TPP - Substation "D", dispatching name of the line - "L-17-D" (refer to Figure 3).

Figure 7: Simplified schematic of the proposed Project at wider 500kV transmission network



1.4 Objectives of the Stakeholder Engagement Plan

Stakeholder engagement assumes the process of identifying, mapping and prioritising stakeholders that might be impacted due to the Project activities, or have certain interest or decision-making abilities.

The main objectives of the SEP are as follows:

- Identifying and preparing a stakeholder matrix that includes all stakeholders that may be impacted or interested in the Project. It also identifies, key organisations and communities who the Project needs to consult in order to receive legal permissions to undertake the Project (e.g., State Committee on Ecology and Environmental Protection).
- Establishing relevant communication approaches to each stakeholder group to deliver Project information, and conduct consultations.
- Recording feedback, concerns and views of stakeholders regarding the Project.
- Establishing proper/suitable means of communication, especially with vulnerable groups and women to prevent any risks relating to Gender Based Violence & Harassment (GBVH) including Sexual Exploitation and Abuse (SEA).
- Developing and maintaining a grievance mechanism.

All stakeholder engagement will be carried out in a culturally appropriate manner, and in languages that are understood by stakeholder (these will include: Uzbek, Russian and Kazakh (the predominant language of the Nukus community) as relevant). Planning for engagement activities will take into account cultural and economic elements to ensure the greatest number of stakeholders can attend (for example when women are able to attend, or when herders are at their houses and not out grazing their animals).

2 Previous Stakeholder Engagement

2.1 Overview

Stakeholder engagement has been undertaken at the scoping stage and the ESIA stage and consultation on the draft ESIA through a series of public meetings. A stakeholder engagement log summarising all stakeholder engagement activity (meetings, notifications) is provided in Annex E. Photographs, meeting attendance sheets and minutes of meetings are provided in Annex, F, G and H. This section provides a summary of stakeholder engagement undertaken to date. This section will be continuously updated as engagement is undertaken. As of February 2022, all consultation on the Project on behalf of NEGU has been undertaken by JE as the ESIA consultant and as recorded in this SEP.

2.2 Scoping stakeholder engagement

During a site visit from October 12-15, 2021 undertaken by JE meetings were conducted at the following locations:

- Tuprokkala district khokimiyat
- Nukus community
- Sarimay community
- Sarimay branch of Uztransgas JS
- Local herders' structures
- Water station of Navoi Mining Company
 - Sarimay substation

During the meetings participants were provided with leaflets that provide the key information about the planned Project as well as contact details of the ESIA Consultant. A sample of the leaflet is provided in Annex A. A summary of the meetings is included in Table 1.

| Name of receptor | Date and | Summary of discussion | Concerns raised | Responses provided | How concerns were | Information disclosed |
|--------------------------------------|------------------------|--|--|--|--|---------------------------------|
| | place of meeting | | | | addressed/follow up required | |
| Tuprokkala district khokimiyat | October 12, 2021 | Provided general information about overall demographic characteristics of the district, main types of employment of the district population, types of social infrastructure etc. | proposed the following: 1. Tuprokkala district can supply workers with food during construction | The ESIA consultant took note of the suggestions | Information added to ESIA baseline section 4.5 Local employment and procurement is addressed in section 7.11 of the ESIA. | Project leaflet (Annex A) |
| Nukus Community | October 12, 2021 | Met with the community leader to explain the aim of the visit as well as the local school and gave a brief introduction to teachers about the planned construction of OHTLs. Received demographic information about the | provided. No concerns were | No response required | Information added to ESIA baseline section 4.5. | Project leaflet |

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Table 1: Summary of meetings

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed/follow up required | Information disclosed |
|-----------------------|------------------------------------|--|---|-------------------------|---|--------------------------|
| Sarimay substation | October 12-13, 2021 | Nukus community. Met with the Electrician and Technical staff on October 12,2021 and on the next day met with the Engineer. They were informed about the Project and supplied with leaflets. Information was received on the number of workers at the substation. During the site visit | information was provided. No concerns were raised. | No response required | | Project leaflet |
| | | E&S team was not able to enter the Sarimay substation to review access options for the OHTL. However, it was possible to check for constraints within 500m of the substation site. Access to the station is only allowed to be carried out with a special permit. | | | | |

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed/follow up required | Information disclosed |
|---|------------------------------------|--|---|-----------------------|---|--------------------------|
| Local herders | October 12-16, 2021 | Met four herders along the proposed OHTL route who have structures (e.g. wells, stables, etc) near the proposed OHTL right of way. These herders also tend to graze their livestock in the Project area of impact (AOI). Herders were supplied with Project leaflets. During the conversation with herders it was found that one of the five herders stays in the AOI all year round. The remaining four herders visit the Project area from spring to late autumn. | Baseline information was provided. No concerns were raised. | | Information added to ESIA baseline section 4.5 and the LARF. | Project leaflet |
| Water station of Navoi Mining Company | October 13, 2021 | Met with head engineer and two technical staff members, who are employees of the water station. They were introduced to the Project and received | Baseline information was provided. No concerns were raised. | | Information added to ESIA baseline section 4.5. | Project leaflet |

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed/follow up required | Information disclosed |
|----------------------|------------------------------------|---|--|-------------------------|---|--------------------------|
| Sarimay Community | October 13, 2021 | leaflets. Gained information about current water station, number of employees, working shifts as well as the requirements to undertake construction in the vicinity of the water stations. Met with the leader of the community and shared information about the Project. Leaflets with Project information were distributed to the local population. Received general information about the socioeconomic profile of the village. | information was provided. No concerns were | No response required | Information added to ESIA baseline section 4.5. | Project leaflet |

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2.3 ESIA stakeholder engagement

During a site visit from November 12-19, 2021 undertaken by JE, meetings were conducted at the following locations:

- Nukus community
- Sarimay community
- Dzhankeldy and Kalaata village
- Local herders' structures

In addition, a number of khokimiyat and government entities were contacted by mail. A summary of these consultations is provided in Table 2 below.

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|--|---------------------------------|---|--|--------------------------|---|--|
| Herder 15 | November 12, 2021 | A face-to-face survey was conducted with a herder who works for Dzhankeldy LLC (Lot 1). The herder was informed about the Project and interviewed regarding land use conditions. | Baseline information was provided. No concerns were raised. | No response required. | Information added to ESIA baseline section 4.5 and the LARF. | Project leaflet (Annex A) |
| Department of Makhalla and Family Support under Ministry of Makhalla and Family of Republic of Karakalpakstan | November 13, 2021 | Phone call consultation was conducted with the head specialist of the Department of Makhalla and Family Support under the Ministry of Makhalla and Family of the Republic of Karakalpakstan. | Khorezm region and Karakalpakstan Republic are reviewing borders of | No response required. | The Juru team continues to work to address this issue and will update relevant documentation when a sufficient response has been received. | Project information provided by phone |

Table 2: Summary of meetings at ESIA stage

⁵ Note: the names of specific people met have been removed from the SEP for disclosure. They are instead included in Annex D of the full version of the SEP.

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|-----------------------|---------------------------------|--|---|--------------------------|--|--------------------------|
| | | | Karakalpakstan prefer to wait until this issue is resolved and only then will they provide an official response regarding the Project. | | | |
| Dzhankeldy village | November 15, 2021 | Met with leader of the community and talked to local villagers about expected construction of the OHTLs (Lot 1 and Lot 2). Conducted survey with community members. | No concerns were raised. | No response required. | Surveys used to inform the ESIA. baseline section 4.5. | Project leaflet |
| Kalaata village | November 15, 2021 | Met with leader of the community and talked to local villagers about expected construction of the OHTLs (Lot 1 and Lot 2). Conducted survey with community members. | No concerns were raised. | No response required. | Surveys used to inform the ESIA baseline section 4.5. | Project leaflet |
| Herder 2 | November 16, 2021 | Face-to-face survey conducted with the herder who works for Dzhankeldy LLC (Lot 1). The herder was informed about the Project and interviewed regarding | No concerns were raised | No response required. | Information added to ESIA baseline section 4.5 and the LARF. | Project leaflet |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|----------------------|---|--|---|--------------------------|--|--|
| | | land use conditions. | | | | |
| Herder 3 | November 16, 2021 (face to face meeting with herder's wife) November 22, 2021 (via phone call) | Face-to-face survey conducted with the herder who works for Dzhankeldy LLC (Lot 1). The herder was informed about the Project and interviewed regarding land use conditions. | Baseline information was provided. No concerns were raised | No response required. | Information added to ESIA baseline section 4.5 and the LARF. | Project leaflet |
| Sarimay Community | November 17, 2021 | Conducted phone calls with the leader of the Sarimay community and talked to local villagers about expected construction of the OHTLs (Lot 1 and Lot 2). Conducted survey with community members. | No concerns were raised. | No response required. | Surveys used to inform the ESIA baseline section 4.5. | Project information provided by phone |
| Nukus Community | November 19, 2021 | Met with the leader of Nukus community and talked to local villagers about expected construction of the OHTLs (Lot 1 and Lot 2). Conducted survey with community | No concerns were raised. | No response required. | Surveys used to inform the ESIA baseline section 4.5. | Project leaflet |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|--|---------------------------------|--|--|---|--|--|
| | | members. | | | | |
| State Committee of the Republic of Uzbekistan on Ecology and Environmental Protection | November 25, 2021 | sent on November 25, 2021. Response letter has been received on December 6, 2021 No03-03/1-1905. The Committee provided recommendations that should be covered while preparing National EIA report and mitigation measures that the Committee expects from construction and operation of Project. | The State Committee recommended the following: 1. Works that should be undertaken for preparation of National EIA report; 2. The installation of flight diverters; 3. Detailed biodiversity surveys should be undertaken. | The Juru team took note of the recommendations. | Information used to inform environmental impacts and mitigation measures in the ESIA section 7.10 on biodiversity. Note: The National EIA will be undertaken independently of this study. | Project information and questions included in a letter |
| Khorezm khokimiyat | November 26, 2021 | Meeting held in khokimiyat offices. Tuprokkala district khokimiyat provided information regarding land ownership of the planned OHTL project area. | The khokimiyat in According to the Decree of the RUz dated 02.09.2020. PF- 6059 "On Measures for the Development of Sericulture and Wool Industry in the Republic of Uzbekistan" pastoral land in the territory of | No response required. | Information used to inform land acquisition impacts and mitigation measures in section 7.14 of the ESIA and the LARF. | Project leaflet |

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|---|---------------------------------|--|--|--------------------------|---|--|
| | | | Uzbekistan belong to the Committee for Development of Sericulture and Wool Industry. No concerns were raised. | | | |
| Bukhara khokimiyat | November 26, 2021 | Official request sent to khokimiyat and official response to the letter received in December 2021. Cadastral Agency of Gijduvan district officially provided response regarding land ownership on December 10, 2021. | The khokimiyat informed us that Land on the planned project AOI belongs to the Committee for Development Sericulture and Wool Industry for permanent use. No concerns were raised. | No response required. | Information used to inform land acquisition impacts and mitigation measures in section 7.14 of the ESIA and the LARF. | Project information and questions included in a letter |
| Ministry of Employment and Labour Relations of the Republic of Uzbekistan | November 26, 2021 | Official request letter sent to the Ministry in November 2021. Response to the letter received on December 7, 2021. The official response listed a number of requirements to follow. | The Ministry provided a list of legal acts that should be followed during Project implementation to ensure safe working conditions during the construction works. | No response required. | List of legal acts, proposed by Ministry were included in section 3 of the ESIA and the ESMP. | Project information and questions included in a letter |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|---|---------------------------------|--|---|--------------------------|---|--|
| Ministry of Health (or MHRUz) | November 26, 2021 | Official request sent to MHRUz on November 26, 2021. Response to official request has not yet been received. The Ministry was not available for comment via telephone. | Awaiting a response. | No response required. | NEGU to chase MHRUz for a response. | Project information and questions included in a letter |
| Institute of Archaeology under Academy of Sciences of the Republic of Uzbekistan | November 26, 2021 | Official request sent to Institute of Archaeology under Academy of Science of the Republic of Uzbekistan on November 26, 2021. Response from the Agency received on December 1, 2021. | Agency responded the following: It is recommended that the project studies points 1-12 on the 120 km stretch of the OHTL (Lot 1). The Agency has no information about the archaeological findings on the planned OHTL route. There is no impact to archaeological sites on the planned OHTL route (Lot 2). | No response required. | Information used to inform cultural heritage impacts and mitigation measures in section 7.15 of the ESIA. | Project information and questions included in a letter |
| National Centre for Archaeology | November 26, 2021 | Official letter was sent on November 26, 2021. Response letter was received on | The Centre has confirmed the absence of archaeological impact | No response required. | Information used to inform cultural heritage impacts and mitigation | Project information and questions included in a |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|---|---------------------------------|---|---|--------------------------|--|--|
| | | December 1, 2021 No416. | from Lot 2 construction to nearby archaeological sites. It advised the Project to conduct an archaeological survey along Lot 1, since this area has not been studied yet or implement archaeological supervision during excavation works. | | measures in section 7.15 of the ESIA. | letter |
| Agency of Cultural Heritage under Ministry of Sport and Tourism of the Republic of Uzbekistan | November 26, 2021 | Official letter was sent on November 26, 2021. Response letter was received from the subordinate department of the Agency of Cultural Heritage in the Khorezm and Navoi regions and the Bukhara department on December 3, 2021 No14-09/357. | Khorezm and Navoi regions confirmed that there are no nearby objects of cultural heritage along Lot 1 or Lot 2. Whereas Bukhara department asked the Project to consider providing buffer zones for identified locations of archaeological importance along Lot 2. | No response required. | Information used to inform cultural heritage impacts and mitigation measures in section 7.15 of the ESIA. A map with location of finding near Lot 2 has been included in the ESIA. | Project information and questions included in a letter |

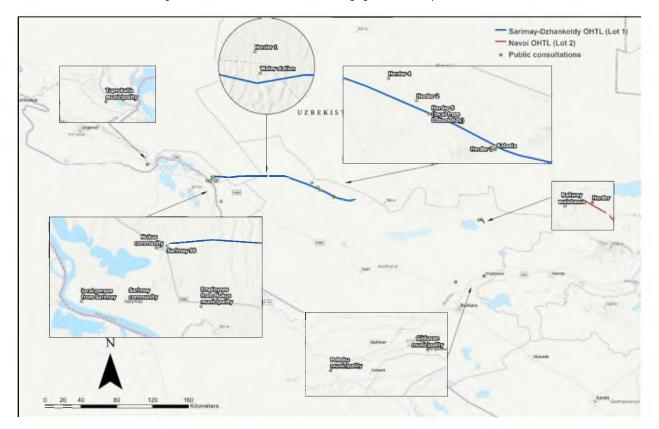
| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|---|---------------------------------|--|---|--------------------------|---|---|
| "Uztransgas" JSC | November 24 2021 | 6, Official request sent to "Uztransgas" on November 26, 2021. Uztransgaz has not yet responded. | Awaiting a response. | No response required. | NEGU to chase "Uztransgas" JSC for a response. | Project information and questions included in a letter) |
| "Uztelecom" JSC | November 20 2021 | Request letter sent to "Uztelecom" JSC in November, 2021. Response from JSC "Uztelecom" received on December 1, 2021. According information provided by JSC there is a communication line along highway A380. Its distance from the planned OHTL route is approximately 500 meters (LOT 1). | Uztelecom provided a list of the technical conditions that should be followed during construction if the route will be located close to the optic fibre cable. | No response required. | Project design and route selection has been prepared considering the safe distance from optic fibre cable. | Project information and questions included in a letter |
| Navoi mining company (Water station of Navoi Mining company) | November 20 2021 | Official request sent to Navoi mining company. Representative from the company called JE to inform them that an official response is subject to approval by the company's board representatives but | Awaiting a response. | No response required | NEGU to chase Navoi mining company for a response. | Project information and questions included in a letter |

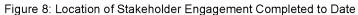
| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|--|---------------------------------|---|---|--------------------------|---|--|
| | | provided a verbal response to the letter. | | | | |
| JSC "O'zbekiston temir yo'llari" | November 26, 2021 | Official request sent to JSC "O'zbekiston temir yo'llari". Official response for the letter not yet received. | Awaiting a response. | No response required. | NEGU to chase JSC "O'zbekiston temir yo'llari for a response. | Project information and questions included in a letter |
| Navoi mining company | December 6 and 10, 2021 | Phone call with specialists Ilhom Mukhtorov and Sanjar Dustmurodov were conducted on December 6 and December 10, 2021. Clarification regarding sent letter were provided. | Written response to the question was provided via telegram (without response letter on official letter head) | No response required. | Mining combinate proposed to clarify final coordinates for Lot 1 and then to check if intersections between water pipeline and Lot 1 is expected. | Project information provided by phone |
| JS "Uzbekistan Railways" | December 10, 2021 | Phone call with senior specialist of the Energy Department Aleksandr Suknin was conducted on December 10 and clarification regarding the letter was provided. Waiting for the official response. | Awaiting a response. | No response required. | NEGU to chase JS "Uzbekistan Railways", for a response. | Project information provided by phone |
| Specialist of Soviet Ministers | December 10, | A telephone conversation | It was determined that Lot 1 administratively | No response | Turtkul district khokimiyat has | Project information |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|---|-----------------------------------|---|--|-------------------------|--|--------------------------|
| of Karakalpakstan Republic | 2021 | undertaken on December 10. | does not cross the territory of Turtkul district according to LRU-635 "On the administrative- territorial structure of the RUz". However, public consultations revealed that no cadastrial changes have been approved and Turtkul district is still in the stakeholder matrix | required | been removed from the stakeholder matrix. | provided by phone |
| National Centre of Archaeology | Zoom call February 10, 2022 | With a participation of NEGU representative Azizbek Botirov, proposed Project route has been discussed. National Centre in the letter recommended to conduct separate survey along route. It was agreed to consult with National Centre after setting up exact route for OHTL | No concerns were raised | n/a | n/a | |
| State Committee on Sericulture and Wool | March 10, 2022 | The State Committee provided information on the land allocation process between | State Committee requested that all issues related to permanent land | No response required | Response will be forwarded to NEGU for further | n/a |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Information disclosed |
|-----------------------------|--|--|---|-------------------------|---|--|
| Development | | government entities. | acquisition be negotiated directly with Committee | | negotiations | |
| JS "Uzbekistan Railways" | May 17, 2022 business correspondence | JS "Uzbekistan Railways" provided technical condition in relation to the construction of OHTL | No concerns were raised | No response required | Response letter has been forwarded to the Technical Consultant to consider during Feasibility Study | n/a |
| Owners of mining areas | May 25, 2022 | Owners of the mining areas provided the following information: there are four mining areas that are located near the OHTL (at Dzhankeldy). Three of them are owned by individuals (names were provided). Among the four mining areas only one is currently active, the other three are planned to start operation in 2023 | No concerns were raised | No response required | Information updated in the ESIA. | Project information provided by phone |

Figure 8 provides an overview of the location of stakeholder engagement activities undertaken to date relative to the OHTL routes.





2.4 Public Meetings

Information disclosure on the draft of ESIA was completed between January 10 and 13, 2022. The public meetings were arranged with responsible organisations, land users as well as people from the nearest communities to the Project to communicate the findings of the ESIA. A draft of the ESIA (Volume II) and draft NTS (Volume I) were circulated for review to facilitate discussion with stakeholders on the findings of the ESIA and for input into finalisation of the draft final ESIA for wider disclosure. A summary of these consultations is provided in Table 23 below. Records of attendance and minutes of meetings provided in Annex Β. are

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| Table 3: Summary | of public meetings |
|------------------|--------------------|
|------------------|--------------------|

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised |
|--|------------------------------------|--|--|
| Gijduvan district municipality officials (Lot 2) | January 10, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | Meeting participants raised the following questions: • What is the total amount of loan for the proposed Project? • Do you know how long construction work will be? • To construct this Project, which organisations/ stakeholders are being planned to be involved? |

| Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---|--|--|
| JE responded: • There is currently no information on the budget that will be required for Project construction. Before providing a loan EBRD, needs to assess the E&S impacts. • There is no exact timeline for construction the Project. First NEGU needs to make sure that route for OHTL will not significantly impact the current state of the environment including biodiversity. • A list of local stakeholders was provided as examples of stakeholders who are | No additions were required. Project implementation schedule is included in section 2.7.7 of the ESIA, and Project stakeholders are listed in section 4 of this SEP. | Non- technical summary, ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|--|------------------------------------|--|--|---|--|--|
| | | | | involved to the Project. JE has prepared a list of stakeholders who should be consulted based on the outcome of E&S receptor mapping at the Scoping stage. | | |
| Peshku district municipality officials (Lot 1) | January 10, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | Meeting participants raised the following questions: • Who will finance construction of this Project? • Is it know which company will be responsible for the construction of OHTL? Is this information available at the moment? | JE responded: •EBRD is considering providing a loan for the implementation of the Project. • At this stage – stage of planning the Project construction company it is not known yet. However, all information related to the Project will be disclosed accordingly on the NEGU's website. • Both Lot 1 and Lot | No additions were required. Project timeline is described in chapter 2.7.7. Parties involved to the Project are described in the introductory part of ESIA. Project description is provided in section 1 of this SEP. | Non- technical summary, ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|--|------------------------------------|--|--|--|---|--|
| | | | • Would you tell us please the voltage of OHTL on which the planned Project is being build? | 2 will be 500kV. Lot 1 connects with the existing Sarimay substation (SS) and planned Dzhankeldy 500MW Wind Farm. Lot 2 will be connected to the existing Navoi TPP, planned Bash WF's SS and existing Muruntau SS. | | |
| Dzhankeldy villagers – meeting with female representative s | January 11, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | No concerns or questions were received during a meeting. | No response required. | No additions were required. | Non- technical summary, ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised |
|--|------------------------------------|--|---|
| Dzhankeldy villagers – meeting with male representative s | January 11, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | Meeting participants raised the following questions: • What kind of social impacts might this Project have? • Will there be an impact on grazing livestock exercised by the local community? • Will employees be hired from this local community? |

| Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---|---|--|
| JE responded: • A summary of Project social risks was provided. It is important to highlight that all above listed impacts are assessed as "low and medium" risk. The Project will not have any affect to cultural heritage. All Project impacts have been assessed in the ESIA which will be disclosed, and the Project developer will strictly follow to the proposed mitigation. • Yes, the Project will limit grazing activities along the route during construction. Our site visit identified that only three herders along Lot 1 will be affected in terms of grazing | No additions were required. Project social benefits are included in section 7, impacts on herders are included in section 7.14 and local workforce in section 7.11 of the ESIA. | Non- technical summary, ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---|------------------------------------|--|---|--|---|--|
| | | | | area. • Depending on the needs of the Project, NEGU may announce job vacancies during the construction period. | | |
| Kalaata villagers – meeting with male representative s | January 11, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | Meeting participants raised the following questions: • When will construction of this Project start? • What kind of positive impacts does this Project have for Kalaata community? • Will local people be employed for the construction of the Project? | JE responded: • The Project is in the planning phase. The construction is expected to start in 2023 and construction end in 2024. • The Project expects to improve the grid system of Uzbekistan, provide uninterrupted power supply and connect to the renewable power plant that will be constructed nearby. • Depending on the needs of the Project, | No additions were required. Project implementation schedule is included in section 2.7.7, Project benefits in section 2.1 and local workforce in section 7.11 of the ESIA. | Non- technical summary, ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---|------------------------------------|--|---|--|---|---|
| | | | | NEGU may announce job vacancies during the construction period. | | |
| Kalaata villagers – meeting with female representative s | January 11, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | No concerns or questions were received during a meeting. | No response required. | No additions were required. | Non- technical summary ESIA, Project presentatio n and brochures |
| Herders 1-5 (along Lot 1)6 | January 11-13, 2022 | JE provided overall information about the Project, i.e., its location, | No concerns or questions were received during a meeting. | No response required. | No additions were required. | Project presentatio n and brochures |

 $^{\rm 6}$ Please note that there are no herders in radius of 1 km along Lot 2

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---|------------------------------------|--|---|--------------------------|---|---|
| | | Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | | | | |
| Nukus community villagers. Including meetings with following groups: - youth and unemployed; - the elderly; - women | January 12, 2022 With men | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | No concerns or questions were received during a meeting. | No response required. | No additions were required. | Non- technical summary ESIA, Project presentatio n and brochures |
| Nukus community | January 12, 2022 | JE provided overall information | No concerns or questions were received during | No response required. | No additions were required. | Non- technical summary |

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| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---|------------------------------------|--|---|--------------------------|---|---|
| villagers. - women | With women | about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | a meeting. | | | ESIA, Project presentatio n and brochures |
| Sarimay community villagers. Including meetings with following groups: - youth and unemployed; - the elderly; - women | January 12, 2022 With men | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed mitigation measures. | No concerns or questions were received during a meeting. | No response required. | No additions were required. | Non- technical summary ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|--|------------------------------------|---|--|--------------------------|---|---|
| Unit on women affairs in Makhalla committee | January 12, 2022 | Discussed overall information about the Project. | They disclosed that the women of Sarimay do not attend public meetings for cultural reasons. | No response required. | This SEP has been updated with measures to include the women of Sarimay. | Non- technical summary ESIA, Project presentatio n and brochures |
| Women in Sarimay community | January 12, 2022 | Walk through the village distributing brochures to female villagers. | No concerns or questions were received. | No response required. | This SEP has been updated with measures to include the women of Sarimay. | Brochures |
| Tuprokkala municipality officials (Lot 1) | January 12, 2022 | JE provided overall information about the Project, i.e., its location, Project components, conducted E&S studies, expected impacts and proposed | No concerns or questions were received during a meeting. Cadastral department of municipality confirmed that no changes in territorial division has been approved | No response required. | No additions were required. | Non- technical summary ESIA, Project presentatio n and brochures |

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the ESIA | Informatio n disclosed |
|---------------------|------------------------------------|---|---|-----------------------|---|------------------------------|
| | | mitigation measures. | yet, so Lot 1 administratively crosses Turtkul district as well. | | | |
| Herder 17 | November 12, 2021 | A face-to-face survey was conducted with a herder who works for Dzhankeldy LLC (Lot 1). The herder was informed about the Project and interviewed regarding land use conditions. | Baseline information was provided. No concerns were raised. | No response required. | Information added to ESIA baseline section 4.5 and the LARF. | Project leaflet (Annex A) |

2.5 Sarimay SS consultation

As part of the due diligence for the Sarimay Substation Expansion Project, complementary stakeholder consultations were conducted on March 27, 2025. A summary of these meetings is presented in Table 4 below.

During a site visit on March 27, 2025, meetings were conducted with the following stakeholders:

- Khorezm regional Cadastre Chamber
- Sarimay-Djankeldy OHTL substation/camp 1

⁷ Note: the names of specific people met have been removed from the SEP for disclosure. They are instead included in Annex D of the full version of the SEP.

- Nukus and Sarimay communities
- Sarimay Substation expansion
- Ongoing S-D OHTL construction works
- Local herders' structures
- S-D OHL office and construction work/camp 2
- Villages of Kalaata and Dzhankeldy through an unmodified area of Kyzilkum district

Table 4: Summary of meetings during development of Sarimay SS Expansion

| Name of receptor | Date and place of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the Project Documentation | Information disclosed |
|---|------------------------------------|---|--|--|---|--|
| Khorezm regional Cadastre Chamber | March 27, 2025 | Met with the Head of the Regional Cadastre Chamber and two specialists. They were informed about the new substation expansion and were presented with the updated map and introduced to the project. was obtained regarding the current location of the SWID lands (which are now under government consideration due to the cancellation of the SWID and its re-establishment under Presidential Decree No. 15, dated 31 January 2025.). | concerns were raised. An official letter is required to obtain formal information from the Cadastre Chamber. It was advised that our company submit an official letter to request the updated project maps. Additionally, | An official letter was prepared and sent to the Cadastre Chamber requesting the updated locations of the SWID lands in KMZ file format. | n/a | Project information and new map with expansion of Project territory prepared and shared with relevant people. |

| Name of receptor | Date and piace of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the Project Documentation | Information disclosed |
|--|------------------------------------|--|---|--------------------------|---|--------------------------|
| Sarimay- Djankeldy OHTL substation/camp 1 | March 27, 2025 | A joint meeting and field assessment with the EPC Contractor and Project Implementation Unit was conducted to evaluate compliance with the Environmental and Social Management Plans (ESMPs) and the Environmental and Social Action Plan (ESAP). | No concerns were raised | n/a | Compliance status provided in thS-D OHL Audit report and ESAP status update (April 2025). | Face to face meetings |
| Nukus and Sarimay communities | March 27, 2025 | Community visits were conducted in Nukus and Sarimay to assess whether residents had been informed about the substation expansion project. | It was highly recommended by the community leader and the women's issues representative to maintain regular communication during the hiring process of both male and female workers from nearby communities, in alignment with ongoing governmental statistical requirements. | No response required | The project's Health & Safety specialist has coordinated with the Women's Issues Representative to ensure that any reports arising during construction and beyond are promptly addressed. Compliance status provided in thS-D OHL Audit report and ESAP status update (April 2025). | Face to face meetings |
| Sarimay Substation expansion | March 27, 2025 | During the site observation, no social features such as herders, their workers, or livestock were identified | Not clear whether herders used the land previously. | No responses required | Findings documented in Sarimay SS Expansion ESDD and updated ESAP (April 2025) | Face to face meetings |

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| Name of receptor | Date and piace of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the Project Documentation | Information disclosed |
|---|------------------------------------|---|---|--------------------------|--|--------------------------|
| | | within the project area. The site is fully fenced, clearly marked with boundaries, and access to the area is strictly prohibited. | | | | |
| Local herders' structures | March 27, 2025 | During the site visit along the OHTL construction route, a local herder was encountered grazing his livestock on pastureland near the project area. The grazing location was situated along the border of the Peshku district in the Bukhara region. The herder stated that he is from the Vobkent district of Bukhara region and has a formal agreement with Djankeldy LLC under the SWID framework. | Herder has no concerns. However, he hasn't been informed about the project. | No responses required | The project's Health & Safety specialist has coordinated with herder and has been informed about the Project. | Face to face meetings |
| S-D OHL office and construction work/camp 2 | March 27, 2025 | Visit to site and worker accommodation for purpose of E&S Audit. | Observations highlighted in the ESDD OHTL Audit report. | n/a | Findings documented in Sarimay SS Expansion ESDD and updated ESAP (April 2025) | Face to face meetings |
| Ongoing S-D OHTL construction works | March 27, 2025 | Meetings to discuss status of construction phase. | No concerns observed | No responses required | Findings documented in Sarimay SS Expansion ESDD and updated ESAP (April | Face to face meetings |

| Name of receptor | Date and piace of meeting | Summary of discussion | Concerns raised | Responses provided | How concerns were addressed in the Project Documentation | Information disclosed |
|--|------------------------------------|---|-------------------------|--------------------------|---|--------------------------|
| Villages of Kalaata and Dzhankeldy through an unmodified area of Kyzilkum district | March 27, 2025 | Meetings to discuss the ongoing construction works. | No concerns observed | No responses required | 2025) Findings documented in Sarimay SS Expansion ESDD and updated ESAP (April 2025) | Face to face meetings |

3 Stakeholder Engagement Requirements

3.1 Overview

When it comes to implementation of an SEP, it is necessary to ensure that all legal requirements and procedures are followed. The Project will need to comply with the legal requirements of Uzbekistan as well as the EBRD Performance Requirements, which are summarized in the following sections.

3.2 National requirements

Uzbek legislation does not require projects to undertake a stakeholder engagement and communication process. It does not oblige project developers to conduct consultations to assess possible project impacts on local communities and businesses and there is no requirement to disclose the results of the environmental assessment.

The Resolution of Cabinet of Ministries No541 "On further development of mechanist for conducting National Environmental Impact Assessment in Republic of Uzbekistan" does, however, require projects to conduct a public hearing with relevant organizations as well as local communities to receive their support regarding the Project implementation. The public hearing should be recorded and documented with evidence of participants signatures. This requirement is mandatory only for projects under Category I and II. As mentioned in Chapter 1.1, this Project is considered Category I and therefore this requirement will apply. The public hearing will be the responsibility of the entity that is undertaking the national EIA.

3.3 EBRD requirements

EBRD Performance Requirement 10 (PR10) requires identification of stakeholders and establishment of a means of communication with them. All communication, as well as the stakeholders identified, should be documented in a Stakeholder Engagement Plan (SEP) that is subject to public disclosure. The amount of communication required under PR10 is subject to the nature of the project as well as the need for the project to receive baseline information to identify possible project risks and impacts.

PR10 establishes the following key elements for stakeholder engagement:

- stakeholder identification and analysis;
- stakeholder engagement planning;
- information disclosure;
- consultations and participation;
- grievance mechanism;
- ongoing reporting to relevant stakeholders.

Category A ESIAs also need to be disclosed for a total of 120 days prior to project financing. As mentioned in Chapter 1.1, this Project is considered Category A, so this requirement will apply.

4 Identification of Stakeholders and Communication Methods

4.1 Overview

Stakeholders can be considered to be people, groups or organizations that are affected by the Project, either directly or indirectly, and those that may have interests in the Project. Stakeholders that have an interest in the Project may have the ability to influence its outcome, either positively or negatively.

This SEP includes a stakeholder engagement matrix (see Table 4) consisting of individuals, communities, organisations and Government agencies that are considered to be stakeholders of the S-D OHTL and Sarimay SS Expansion. The stakeholder engagement matrix is based on the outcomes of consultations during the Scoping and ESIA Phase site visits and the Sarimay SS consultation meetings and should be changed or modified, i.e., new stakeholder groups can be added removed, throughout the Project lifecycle as stakeholders and their interest in the Project may change.

By applying a systematic approach, the current stakeholder matrix has been classified into three main categories based on the type of interest:

- (A) Affected/impacted stakeholders (these can be directly or indirectly affected by Project).
- (I) Interest-based stakeholders (those stakeholders that have a certain interest in the Project, i.e., the Project's beneficiaries, NGO's, civil society).
- (D) Decision making stakeholders (groups/individuals/organisations that make a decision regarding the Project, i.e., Project lenders, local regulators etc)

4.2 Stakeholder identification

The S-D Transmission Project is divided into three components, Lot 1, Lot 2 and Sarimay SS Expansion Works Unless indicated the stakeholder analysis relates to all components going forward. The information on stakeholders included in Table 5 is based on:

• Site visit conducted from November 12-16, 2021 and the results of surveys undertaken with project affected people (PAP) in Sarimay, Nukus villages in Tuprokkala district of Khorezm region and Dzhankeldy and Kalaata villages in Peshku district of Bukhara region undertaken at the same time, as well as public meetings and FGDs undertaken from January 10-12, 2022.

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• Site visit conducted March 25, 2025 for the Sarimay SS expansion works.

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| Stakeholder Group | Stakeholder Entities | Relevance to project: "impact-based" (A), "interest-based" (I), or decision maker (D) | Method of communication |
|--|---|--|---|
| Directly affected communities (local villags, and land uers) | Nukus community (Lot 1, Sarimay SS) | planned OHTL route, Sarimay SS and | Face to face meetings / public meetings / public hearings / |
| | Sarimay village (Lot 1, Sarimay SS) | the land owner/users which might be affected by construction works, and potential adverse impacts from | telegram channel / phone calls / written correspondence/ village walk-throughs / |
| | Dzhankeldy village (Lot 1) | construction activity, such as increased dust level, noise and land acquisition. | distribution of leaflets / social media. |
| | Kalaata village (Lot 1) | | |
| | Herders 1-3 (Lot 1) Herders - TBC (Sarimay SS) | | |
| | State Committee on Sericulture and Wool Industry Development – land owner | | |
| | Kokcha LLC (Lot 2) – subordinate organisation of the Committee/land leaser | | |
| | Dzhankeldy LLC (Lot 1) – subordinate organisation of the Committee/ land leaser | | |
| Owners of directly affected infrastructure (all components) | Navoi Mining Company, (responsible for water pipelines (Lot 1); the water station (Lot 1); emergency water drainage system (in each 500 m/ 1 km)) | A/I: Local structures that may require the Project to follow certain standards during construction in order to avoid any negative consequences/ emergencies as a result of construction. | Face to face meetings/ / phone calls / telegram channel / written correspondence. |

Table 5: Stakeholder engagement matrix (updated April 2025 to highlight Sarimay SS stakeholders)

| Stakeholder Group | Stakeholder Entities | Relevance to project: "impact-based" (A), "interest-based" (I), or decision maker (D) | Method of communication |
|--|--|---|---|
| | NEGU (owner of existing transmission lines) | The infrastructure may also have temporary or permanent workers that | |
| | Owners of mining areas ⁸ (owned by individuals/private legal entities) | | |
| | JS "Uzbekistan Railways", (owner of two railway branches 'one crossing' near Lot 1 that goes in parallel with proposed OHTL route and one with a station close to Lot 2 | | |
| Community leaders | Chairman of 'Nukus' Community (Lot 1, Sarimay SS) Chairman of 'Sarimay' Community (Lot 1, Sarimay SS) Chairman of 'Kalaata' and 'Dzhankeldy' villages (Lot 2) | A/D: Responsible for affected communities and they can influence the information community members receive about the Project. | Face to face meetings / public meetings / public hearings / telegram channel / phone calls / written correspondence. |
| Indirectly affected communities (in a wider area) | Agitma village (Lot 2) Koklam village (Lot 2) Cholobod village (Lot 2) | A/I: Communities may be impacted by influx of workers and suffer transportation delays. They may also receive project benefits through employment and procurement activities. | Public meetings / phone calls / telegram channel / distribution of leaflets/ social media. |
| Vulnerable groups and women (all components) | Women | A: Women involved in herding activities may not have access to Project information. | Face to face meetings/ public meetings / public hearings / telegram channel / village |

⁸ Please note that State Committee on Geology and Mineral Resources of the Republic of Uzbekistan oversees the activities. Committee allocates mining areas to legal and physical entities.

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| Stakeholder Group | Stakeholder Entities | Relevance to project: "impact-based" (A), "interest-based" (I), or decision maker (D) | Method of communication |
|--|---|--|---|
| | Youth/children | A: Youth and children that can be involved in the herding activities (the family members of herders tend to help them). Also, families tend to live on or near the Project AOI in settlements during certain seasons. | walk-throughs / phone calls / written correspondence/ social media. |
| | Elderly and disabled | A. If impacted by the Project, these people may not be able to attend all public meetings and be part of Project planning. | Face to face meetings/ phone calls / telegram channel / written correspondence. |
| | Illiterate or semi-literate | A. These people may not be able to understand all information provided about the Project and will need assistance. | Face to face meetings/ public meetings / social media / village walk-throughs / public hearings / phone calls. |
| Employees and labour (all components) | Herders' employees | A: May lose their job if resettlement of herders is required. | Face to face meetings / public meetings / social media / |
| | Directly affected infrastructure workers (such as mine workers or water station workers) | A: May be impacted by the construction works, dust or traffic for example. | telegram channel / phone calls. |
| Industry and business (all components) | Methane gas supplier (Sarimay) | A: Main gas supplier (located in Sarimay) could be impacted by traffic during transportation of equipment. | Face to face meetings / public meetings / telegram channel / public hearing/ phone calls. |
| | Canteens (There are two canteens that are located within 1 km from Project site, and four others that are located at a distance of between 2-5 km from the Project site) | A/I: Food supplies could be impacted by traffic during the transportation of equipment. Canteens may be frequented by workers during construction works. | |

| Stakeholder Group | Stakeholder Entities | Relevance to project: "impact-based" (A), "interest-based" (I), or decision maker (D) | Method of communication |
|---|--|---|--|
| Governmental bodies (all components) | "Uztransgas" JSC | D: Should be consulted to get information on construction measures to avoid disturbance to gas pipelines. | Face to face meetings / public meetings / phone calls/ written correspondence. |
| | Uztelecom JSC | D: Should be consulted to get information on construction measures to avoid disturbance to communication lines. | |
| National Government bodies (all components) | "NEGU" JSC | D: Responsible for development of the Project. | Face to face meetings / phone calls/ written correspondence. |
| | Ministry of Labour Protection and Social Welfare | I: Statutory consultees. | Written correspondence. |
| | Ministry of Health (or MHRUz) | I: Statutory consultees. Also, protection of employee and public safety. Responsible for establishment of health and protection zones around the OHTL. | Written correspondence. |
| | State Committee of the Republic of Uzbekistan on Ecology and Environmental protection | D: Statutory consultees. Control of national environmental policy (see following rows) and protection standards. Responsible for EIA approval. | Face to face meetings/ phone calls/ written correspondence. |
| | State Committee for Geology and Mineral Resources (Goskomgeologiya) | D: Approves permits for specific activities carried out on site. | Face to face meetings/ phone calls/ written correspondence. |
| | National Centre for Archaeology | D: To confirm the presence of objects or locations of archaeological significance. | Face to face meetings/ phone calls/ written correspondence. |
| | Agency of Cultural Heritage under Ministry of Sport and | D: To confirm the presence of objects or locations of cultural significance. | Face to face meetings/ phone calls/ written correspondence. |

| Stakeholder Group | Stakeholder Entities | Relevance to project: "impact-based" (A), "interest-based" (I), or decision maker (D) | Method of communication |
|--|--|---|--|
| | Tourism of the Republic of Uzbekistan | | |
| Provincial / Municipal / Local Government Departments – all | Khorezm regional Khokimiyat (Lot 1, Sarimay SS) | A/D: Will make decisions on land allocation and Project realization. | Face to face meetings/ phone calls/ written correspondence. |
| levels (from highest to the lowest) | Bukhara regional khokimiyat | A/D: Will make decisions on land allocation and Project realization. | |
| | Peshku district Khokimiyat (Lot 1, Sarimary SS) | A/D: Will make decisions on land allocation and Project realization. | |
| | Gijduvan district Khokimiyat (Lot 1) | A: Will make decisions on land allocation and Project realization. | |
| | Turtkul district municipality (Lot 1) | A: Will make decisions on land allocation and Project realization. | |
| Civil society, NGOs, research bodies | NGOs working in the regions, such as Uzbekistan Society for the Protection of Birds (Bird Life) | I: Depending on core purpose of specified NGO. | Face to face meetings/ phone calls/ written correspondence / social media. |
| Media (all components) | Regional and local mass media (newspapers, radio, television as relevant) | I: Will need to be involved in disseminating information about the Project. | Written correspondence / phone calls. |
| | Telegram channel of Gijduvan municipality "Hokim va tadbirkorlar" (Mayor and businessmen) | | |
| | Telegram channel of Peshku municipality "Peshku.uz" | | |

| Stakeholder Group | Stakeholder Entities | Relevance to project: "impact-based" (A), "interest-based" (I), or decision maker (D) | Method of communication |
|--|---|--|--|
| | Telegram channel of Tuprokkala municipality "Tuproqqala hokimligi" (Tuprokkala municipality) | | |
| Political parties of environmental focus (all components) | Ecological party of Uzbekistan | I: Will be interested in the execution of the Project and its environmental impacts and mitigation measures. | Written correspondence. |
| National Government bodies | Khorezm regional Cadastre Chamber | D: To confirm the presence of objects or locations and updates within the kmz files. | Face to face meetings/phone calls/written correspondence. |
| | "NEGU" JSC in Khorezm region SS sites | D: Responsible for development of the Project. | Face to face meetings / phone calls/ written correspondence. |
| Directly affected communities (local villages, and land users) | Nukus community (Lot 1 and Sarimay SS) | A/I: The closest communities to the planned Sarimay SS expansion and OHTL construction and the land | |
| | Sarimay village (Lot 1 and Sarimay SS) | owner/users which might be affected by construction works, and potential | |
| | Djankeldy village (Lot 1) | adverse impacts from construction activity, such as increased dust level, | |
| | Kalaata village (Lot 1) | noise and land acquisition. | |
| | Herders 1-3 (Lot 1, 2) | | |
| | Herder (Saimray SS) to be confirmed | | |
| | Djankeldy LLC (Lot 1) – subordinate organisation of the Committee/ land leaser | | |

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4.3 Consultation activities

The following methods will be used to inform stakeholders about the stakeholder engagement process during ESIA, construction and operation process:

- Face to face meetings this method will be applied for directly affected and decisionmaking groups of stakeholders. It can also, to be implemented with vulnerable groups where relevant.
- Meetings with community leaders and womens representatives these will be formal and informal meetings held with community leaders to maintain good relations with the communities and address any concerns the communities might have.
- Visual aids and leaflet distribution this method will be suitable to inform large groups of stakeholders, such as local communities, about the Project, and the grievance mechanism.
- Social media including telegram channels can be used as communication with stakeholder groups who are not able to meet due to long distances or if they have mobility restrictions due to physical disabilities. Social media can also be used to provide Project updates and to disclose information on upcoming events or meetings.

The primary social media channels that will be used are NEGU's Telegram channels (t.me/uzmetaxborotxizmati and https://t.me/uzmetaxborotxizmati) and NEGU's Instagram account (https://www.instagram.com/uzbekistonmet.uz/).

- Public hearings these will be undertaken as per the Uzbek legal requirement during the national EIA phase in order to gain the support of local communities⁹.
- Public meetings these may be in the form of large group meetings (however at the time of writing this SEP COVID-19 restrictions apply and therefore groups will necessarily be smaller) and will disclose Project information at key implementation phases throughout the Project lifecycle.
- Village walk-throughs as identified in Sarimay village, some women do not attend public meetings for cultural reasons. Instead, village walk throughs can be undertaken to disclose information to women in the village on a one-to-one basis as they are met walking through the village.
- Focus groups discussions (FGDs) FGDs will be used to gain information for the ESIA phase as well as conducting consultations with vulnerable groups. These are smaller groups of targeted stakeholders, that will meet to discuss specific topics.
- Written correspondence this will be used to invite stakeholders to public meetings. Also used to disclose information at key Project phases to a wider distribution of both impacted and interested stakeholders. This will also be used for formal requests to government agencies and other decision making stakeholders.

⁹ Public hearing is a part of the National EIA requirements. Local legislation (Resolution of Cabinet of Ministries No 541) has a specific requirement for public hearings. The consultant team who will be awarded to prepare the National EIA report will be responsible for conducting the public hearing

- Phone calls used to contact key national and local government stakeholders and also an alternative for vulnerable groups that have difficulty accessing information in other formats. Can also be used in COVID-19 lockdown situations when health or security of stakeholders or staff may be at risk.
- Media releases may be used at key Project phases to disclose information to wider stakeholder groups.

4.4 Encouraging the participation of women

Considering the local culture and traditions, stakeholder engagement will focus on implementing measures to ensure the active participation of women, especially from local communities, by arranging separate consultations and meetings, and village walk-throughs.

Any meetings, FGDs and consultations will be led by female moderators, in order to create a comfortable atmosphere for women and let them to speak out, express their concerns, worries as well as share feedback.

Consultation will also be made with the representative of Nukus and Sarimay Community Women's Issues Representative to maintain regular communication during the hiring process of both male and female workers from nearby communities, in alignment with ongoing governmental statistical requirements.

4.5 Vulnerable groups

Vulnerable groups, in addition to women (discussed above), include youth and children (particularly herders), people with disabilities and the elderly. For this Project, it will also include people with poor literacy or the illiterate. These people will all have difficulty receiving information about the Project, or providing their opinions or raising their concerns about the Project.

To ensure the involvement of vulnerable groups, especially those people who are not able to attend the meetings due to the physical disabilities, stakeholder engagement will be held separately and where possible consultations will be conducted in their homes, or a location easy for them to access.

5 Timing of Upcoming Stakeholder Engagement

5.1 Overview

This section provides a timetable for future stakeholder engagement. Meeting details already known are included where possible, the details for some of the stakeholder engagement will be included in subsequent versions of this SEP.

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5.2 Timing

The current schedule of stakeholder engagement for the Project is demonstrated in Table 6 below:

Table 6: Planned stakeholder engagement

| No | Planned date | Stakeholder group | Type of engagement | Notes | Status at revision update |
|------|--|--|-------------------------------------|---|--|
| 1 | Date for the call has not been confirmed yet | Turtkul municipality – local government | Zoom call | Municipality has not yet provided a time slot for a call, however as per further discussions with the Municipality, there are still no changes in territorial division between Turtkul district and Khorezm region. Thus, no changes in Project administrative locations has yet been made. This consultation should be undertaken once the change has been made. | No action required. |
| Info | rmation disclosure or | n final draft ESIA | | | |
| 2 | 120 days prior to board approval (17 March 2022 to June 2022) | All stakeholders | Online disclosure | Disclosure of ESIA (Volume I NTS, Volume II ESIA, Volume III Technical Appendices, Volume IV ESMP, Volume V SEP and Volume VI LARF). | Completed – documentation can be found here: https://www.ebrd.com/home/work-with- us/projects/psd/52874.html#customtab- b74e7ecedb-item-79e48c0e03-tab. |
| Info | rmation disclosure go | oing forward | | | |
| 3 | During the EIA preparation | Communities near to the Project site | Public Hearing | This public hearing will be undertaken as part of the National EIA process. | Completed – positive EIA conclusion obtained |
| 4 | During Livelihood Restoration Plan preparation | Herders and herder employees and local community | Public meetings, FGDs, social | Details to be determined | Not started |

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| No | Planned date | Stakeholder group | Type of engagement | Notes | Status at revision update |
|----|--|--|---|---------------------------|---------------------------|
| | | members (OHL ROW and Sarimay SS site) | media and face to face meetings | | |
| 5 | Prior to commencement of construction | All stakeholders | Information disclosure, social media, and public meetings | Details to be determined. | Partially performed |
| 6 | At least two weeks before work commences in their grazing area | Herders and herder employees | Face to face meetings | Details to be determined | Partially performed |
| 7 | At key milestones during construction or at least monthly | All stakeholders with specific attention to community leaders, community womens representatives, and local community members | Information disclosure, social media, and public meetings | Details to be determined. | |
| 8 | Prior to commencement of operations | All stakeholders with specific attention to community leaders, community womens representatives, and local community members | Information disclosure, social media, and public meetings | Details to be determined. | Ongoing |
| 9 | At key milestones during operations or at least annually | All stakeholders | Information disclosure, social media, and public meetings | Details to be determined. | Not due yet |

6 Responsibilities and Implementation

6.1 Overview

To ensure the effective functioning of the current SEP and grievance mechanism it is important to determine responsible parties and allocate responsibilities between them. This section will be updated should more responsible parties (such as the Main Contractor) join the Project. As of now, there are three main parties:

- EPC Contractor OHL Transmission Line Project ŞARA Teknoloji Tesis A.Ş.
- EPC Contractor Sarimay SS project to be confirmed
- Implementing Organisation JSC NEGU; and
- The Lender EBRD.

The responsibilities for each key party are discussed in the sections below.

6.2 Key responsible parties

6.2.1 EPC Contractor (S-D OHL and Substation)

The EPC will be responsible for implementation of stakeholder engagement throughout the project construction. Responsibilities include:

- Provide all stakeholders with construction phase grievance mechanism contacts and explain its process ().
- Assist in registering and monitoring and responding to grievances received via the grievance mechanism in coordination with NEGU and other organisations, as necessary.

6.2.2 NEGU PIU

NEGU is ultimately responsible for stakeholder engagement for the Project lifecycle. NEGU's responsibilities are outlined below:

- Disclose this SEP on NEGU's website.
- Support EPC in investigating and closing grievances.
- Periodically update this SEP for the construction and operation phases.
- Identify suitable staff to oversee stakeholder engagement as per the SEP (for example Community Liaison Officer (CLO) and a person responsible for grievance redress).
- receive, respond and close grievances, following the grievance mechanism included in this SEP.

6.2.3 EBRD

EBRD has specific requirements for stakeholder engagement under its loan modalities. It also has disclosure requirements that a project must comply with before loan disbursement. EBRD

will monitor the implementation of stakeholder engagement per its requirements. EBRD's responsibilities are as follows.

- Disclose this SEP on EBRD's website.
- Disclose the ESIA on the EBRD website.
- Disclose any updates to the assessment document resulting from scope chages on EBRD website.
- Monitor that stakeholder engagement is completed per EBRD PR10 requirements throughout the loan disbursement period.
- Receive and manage any grievances about the Project raised directly to EBRD.

7 Grievance Mechanism

7.1 Overview

EBRD PR10 requires clients to establish an effective grievance mechanism in order to keep communication with stakeholders, i.e., be aware of their concerns, and provide responses to their inquiries. During the ESIA preparation phase of the Project, JE will be responsible for the receipt of grievances with assistance from JSC NEGU. However, following the ESIA phase the responsibility for grievance receipt and redress will be entirely the responsibility of NEGU or its delegate.

7.2 Grievance reporting and resolution

Grievances can be raised through the following methods:

- Directly to Project staff during meetings, or Project site visits,
- Via telephone calls
- In written form (text messages, via email, mobile applications, letters, written requests etc).

For the construction phase contact details for each of these methods are included in section 7.3.

grievances must be logged on a grievance form to be used when a grievance is received. An example of grievance form is provided in Annex C. The grievance form is prepared based on location, language preferences as well as communication opportunities for the identified stakeholders..

7.3 Contact details

Contact details of for JE representatives that will be responsible for receipt of grievances during the EISA stage are provided in the table below:

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Table 7: Contact details

| Company | Contact Details | |
|---------|---------------------------|--|
| [EPC] | Email: | |
| | Telephone: +998 712020440 | |
| | Email: | |
| [EPC] | Telephone: +998 712020440 | |
| | | |

Contact details for NEGU are included in Table 8.

Table 8: NEGU Contact details

| Company | Contact Details |
|-------------------------------------|--|
| NEGU NEGU Complaints line | Telephone +998 7) 236-68-65 |
| | Email: info@uzbekistonmet.uz |
| | Address: Tashkent city, |
| | 100084, Yunusabad district, |
| | Osiye street, 42 nd house. |
| | Web site: uzbekistonmet.uz |
| NEGU | Email: <u>sarimav.jankeldi@gmail.com</u> |
| NEGU contact person | Telephone +998 71 236-68-08 |

7.4 Grievance responsibilities

7.4.1 EPC Contractor

During the construction phase, stakeholder engagement and grievance mangaemnt is undertaken by the EPC Contractor. Therefore, EPC is acting as the main focus point for stakeholders including PAPs to raise grievances from local communities. Any grievances raised will be recorded in the grievance form and logged in the grievance log. NEGU will assist in the resolution of grievances and JE and/or NEGU will provide a response to the grievance.

7.4.2 NEGU

NEGU has identified XXXXXX to oversee stakeholder engagement activities as required in this SEP. This person is the main point of contact between the Project and the local communities.

7.5 Confidentiality and anonymity

The grievance mechanism will keep strict confidentiality of data, including the personal information of all applicants. At the stage of grievance receipt/registration the complainant will be informed that they can submit a grievance anonymously. Complainants will be informed that some grievances may not be able to be responded to if they are made anonymously.

7.6 Grievance resolution options and response

The approaches taken to resolve grievances will depend on the nature, frequency of occurrence and the number of grievances. Upon the receipt of a grievance by any means of communication, it will be entered to the grievance log to ensure that all raised concerns/inquiries are investigated and addressed. The grievance log template is provided in Annex D.

After receipt and registration of a grievance, an applicant will receive written notification that includes a proposed timeline for the investigation depending on the request and a preliminary time of receipt of a response.

Responses will be provided in a language that is suitable for the complainant, i.e., Uzbek, Russian or Kazakh. The CLO (EPC and NEGU) will be responsible for the receipt and monitoring of grievances during the construction phase of the Project. Resolution of the grievance will be communicated to the applicant in written form. In the case that a complainant cannot receive a written response, the complainant will be contacted via telephone and informed of the results of their grievance.

The following table provides the timeframes for response to grievances.

Table 8: Grievance Process and Timeline

| Stage | Timeline |
|--|--|
| Receipt and registration of the grievance | - |
| Acknowledgement of grievance receipt to the complainant | Maximum 3 days after submission of grievance |
| Assessment/investigation of the received grievance | Maximum 2 weeks after submission of grievance |
| Response provided to the complainant | Maximum 2 weeks after submission of grievance |
| Reassessment of the grievance in case the complainant is not satisfied with the previous response provided | Maximum 2 weeks after notification of dissatisfaction by the complainant |

Where complex grievances, or other factors extend the investigation time, the complainant will be informed of this delay and advised of an updated expected timeline for response.

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Figure 8 provides a flow chart of how a grievance can be filed by a PAP.

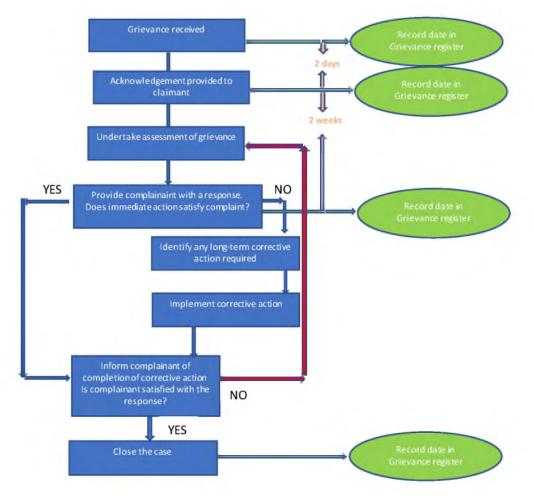


Figure 8: Grievance mechanism flow chart

In cases, where the complainant is not satisfied with proposed solution/response to the grievance, they have the right to take other legal action to resolve the grievance.

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8 Reporting

8.1 Overview

There are various activities that require monitoring and reporting as part of this SEP. They are discussed in the following sections.

8.2 SEP reporting

The latest version of the SEP will be disclosed during the construction phase.

8.3 CLO reporting

Reporting on CLO activities should include:

- A summary of disclosure activities undertaken by the CLO including meeting minutes, attendance sheets, photographic evidence and copies of adverts placed in newspapers and other media. The construction stakeholder engagement log is provided in in Annex E.
- Minutes of gender specific consultations and consultations with members of vulnerable groups.
- The original written correspondence in relation to stakeholder engagement will be kept, including records of media and social media disclosures. Comments and feedback should also be retained.

8.4 Annual reporting

A Project-specific annual report will be prepared summarising Project performance, CLO activities including grievances and updates to the SEP. The first report will be prepared during the construction phase. Information about the operations phase of the Project can be included in NEGU regular reporting.

8.5 Grievance logging

Tracking and logging for each grievance is recorded in a grievance log. Each grievance will be given an individual identification number and will be followed through by recording details and timing of its resolution and close-out. The current status of the Project grievance log is provided in Annex D.

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1. Annex A: Distributed leaflet during ESIA consultations

- 1. Brochure distributed during Scoping Stage
 - a. English version

ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT

The Project is currently in the scoping phase, of the ESIA process. Next steps include collecting physical, biological and socio-economic baseline data along the transmission line routes and in local communities. Potential positive and negative impacts for the construction, operations and decommissioning phases will then be assessed for significance and mitigation. Following that management measures will be developed. The ESIA process will:

- Identify actions that can be taken to eliminate, or at least reduce any negative impacts as a result of the project, to acceptable levels, and enhance project benefits.
- Confirm that costs are not levied on the public or individuals that are greater than the benefits they will receive.



Sequence of Tasks for ESIA Study



SARIMAY-DZHANKELDY TRANSMISSION LINE

INTRODUCTION

The European Bank for Reconstruction and Development (the "EBRD") is considering providing a corporate loan to JSC National Electric Grid of Uzbekistan ("NEGU") to finance construction of an approximately 120 km 500 kV transmission line in Uzbekistan between the settlements of <u>Sarimay</u> (Khorezm region) and Dzhankeldy (Bukhara region) and an approximately 10 km 500kV transmission line (Bukhara region) connecting a new 500kV open switchgear with the 500kV Navoi transmission line (the "Project").

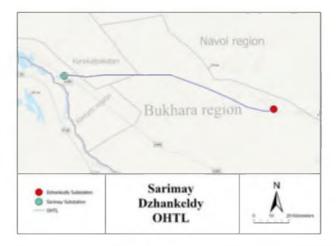
This leaflet has been produced to provide information about the basic characteristics of the Project and its surroundings, and how the environmental and social impacts will be assessed and managed.



Photo credit to Juru Energy Consulting

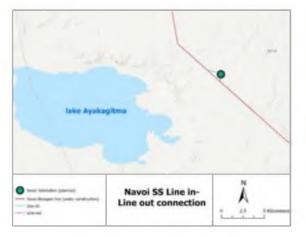
PROJECT DESCRIPTION AND LOCATION

An approximately 120 km high-voltage transmission line will be constructed in the Bukhara and Khorezm regions and the Republic of Karakalpakstan. The line will connect the proposed Dzhankeldy Wind Power Plant with the existing <u>Sarimay</u> switching station. The following map provides the proposed route of the 120 km transmission line.



In addition, an approximately 10 km 500kV transmission line will be constructed in the Bukhara region of Uzbekistan. It will be constructed between a new 500kV open switchgear near a new wind power plant under development and 500kV transmission line under construction in the Navoi region of Uzbekistan. The following map provides the proposed route of the 10 km transmission line.

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The transmission lines will be part of the national electric grid of the country and will strengthen the national electricity transmission system. The lines will also help evacuate electricity from two wind power plants that are expected to be built by a private sponsor in the Bukhara region and will facilitate integration of renewables into the national grid.

CONTACT DETAILS

All comments or queries relating to the International ESIA for the Sarimay-Dzhankeldy Transmission Line should be sent to: JURU ENERGY CONSULTING LLC, UZBEKISTAN Name: Viktoriva Filatova, Zilola Kazakova Address: 10A, Chust Str., Tashkent, Uzbekistan, 100077 Email: <u>sarimay ohtl esia@juruenergy.com</u> Phone: +99871 202-04-40

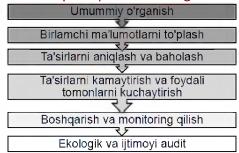
b) Uzbek version

Atrof -muhit va ijtimoiy ta'sirni baholash

Loyiha hozirda Atrof – muhit va ijtimoiy ta'sirni baholash jarayonining keng qamrovli bosqichida. Keyingi bosqichlarda elektr uzatish tarmoqlari boʻylab va mahalliy jamoalarda jismoniy, biologik va ijtimoiy-iqtisodiy dastlabki ma'lumotlar yigʻiladi. Qurilish, ekspluatatsiya va foydalanishni toʻxtatish bosqichlari uchun potentsial ijobiy va salbiy ta'sirlar ahamiyatlilgi va ta'sirlarni kamaytirish yoki yumshatish uchun baholanadi. Shundan soʻng, boshqaruv choralari ishlab chiqiladi. Atrof-muhit va ijtimoiy ta'sirni baholash jarayoni quyidagilarni amalga oshiradi:

- Loyiha natijasida yuzaga keladigan salbiy ta'sirlarni maqbul darajaga etkazish yoki hech bo'lmaganda kamaytirish uchun amalga oshirilishi mumkin bo'lgan harakatlarni aniqlash va loyihaning foydasini oshirish.
- Jamiyat yoki jismoniy shaxslardan olinadigan foyda kattaroq bo'lmagan xarajatlar olinmasligini tasdiqlash.

Atrof-muhit va ijtimoyiy ta'sirlarni oʻrganish uchun topshiriqlar ketma -ketligi



SARIMAY-JONKELDI ELEKTR UZATISH TARMOG'I

KIRISH

Evropa tiklanish va taraqqiyot banki ("YTTB)" AJ "O'zbekiston milliy elektr tarmog' "ga ("O'MET") 120 kilometr uzunligda boʻlgan Sarimoy (Xorazm viloyati) va Jonkeldi (Buxoro viloyati) ahoii yashash joylari oʻrtasida hamda Buxoro viloyatida qurilishi rejalashtirilayotgan 500 kV yangi ochiq uzatish podstantsiya atrofida yangi elektr uzatish tarmogʻi (Loyiha) qurilishini moliyalashtirish uchun korporativ kredit berishni koʻrib chiqmoqda

Ushbu ma'iumotnoma Loyihaning asosiy xususiyatlari va uning atrofida joyiashgan tuzulmalar, shuningdek, atrof -muhit va ijtimoiy ta'sirlar qanday bahoianishi va boshqarilishi haqida ma'lumot berish uchun tayyorlangan.





Juru Energy Consulting kompaniyasining fotosurati

LOYIHA TAVSIFI VA JOYLASHUVI

120 kilometr uzuniigida boʻlgan 500 kVii yangi elect uzatish tarmogʻl qurilishi rejalashtirilgan. Ushbu tarmoq Qoraqalpogʻiston Respublikasi, Xorazm va Buxoro viloyatlari kesib otadi. Bu tarmoq qurilishi rejalashtirilayotgan Jonkeldy shamol elektr stantsiyasini mavjud Sarimoy stantsiyasi bilan bogʻlaydi. Quyidagi xaritada 120 km uzatish liniyasining taklif qilingan yoʻnalishi koʻrsatilgan.



Bundan tashqari, Buxoro viloyati Gijdivon tumanida ushbu tarmoqning qoʻshimcha 10 kilometr uzuniigida boʻlgan liniyasining qurilishi kutilmoqda. Ushbu 10 km tarmoq yangi 500 MW quvvatga ega boʻladigan yangi shamol elektrostantsiyaning 500 kVli ochiq elektr uzatish qurilmasi oʻrtasida quriladi. Quyidagi xaritada 10 km uzunlikdagi elektr uzatish tarmoqni joylashuvi aks ettirilgan.

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Ushbu elektr uzatish tarmoq mamlakatming milliy elektr tarmogʻining bir qismi boʻlib milliy elektr uzatish tizimini mustahkamlashga rejalashtirilgan. Bundan tashqari, Buxoro viloyatida ijtimoiy sherikchilik asosida qurilishi kutilayotgan ikki yirik shamol elektrostantsiyalarni milliy tarmoqqa ulanishi uchun qoshimcha elektr uzatish tormoqlari quriladi.

QO'SHIMCHA MALUMOT UCHUN

Sarimay-Jankeldy uzatish liniyasining atrof muhit va ijtimoiy ta'siriarini oʻrhanish bilan bogʻliq barcha masalalar yuzasidan JURU ENERGY CONSULTING MChJ, (Toshkent, OʻZBEKISTON)ga murojaatlar qilishingiz mumkin.

Ma'lumot uchun: Viktoriya Filatova, Zilola Kazakova Manzil: 100077, Toshkent. Oʻzbekiston, Chust koʻchasi. 10A E -pochta:sarimay_ohtl_esia@juruenergy.com Telefon: +99871 202-04-40

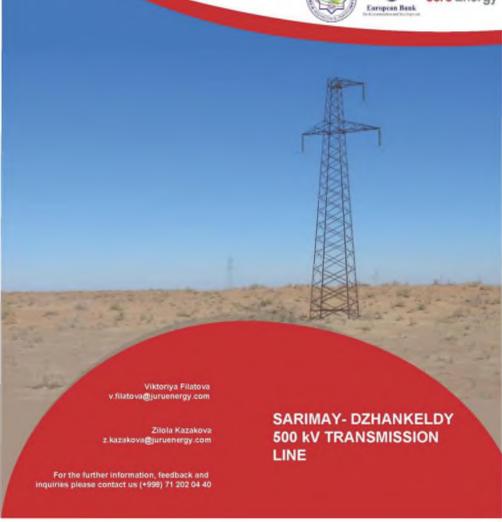
2. Annex B: Public meeting disclosure information

a) English version



INTRODUCTION

The European Bank Reconstruction for and Development (the EBRD) is considering providing a corporate loan to JSC National Electric Grid of Uzbekistan (NEGU) to finance construction of an approximately 127 km 500 kV line (Lot 1) in transmission Uzbekistan between the settlements of Sarimay (Khorezm region) and (Bukhara region) Dzhankeldy and an approximately 10 km 500kV transmission line (Lot 2) (Bukhara region) connecting a new 500kV open switchgear with the 500kV Navoi transmission line (the Project).



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April 2025

Expected positive impacts

- The Government of Uzbekistan has adopted 2030 Energy Strategy to increase its power supply, which defines several objectives and directions for electricity supply and improved stability between 2020-2030;
- Uzbekistan plans to increase the share of renewable energy sources to 25% by 2030;
- The planned Sarimay-Dzhankeldy overhead transmission line (OHTL) (Lot 1) will secure the northwest region's stable power supply;
- Alternative for the existing 220 kV OHTL (if the existing line does not operate for any reason, the planned 500 kV OHTL (Lot 1) will continue to supply the consumers with an uninterrupted power supply);
- Sarimay-Dzhankeldy OHTL (Lot 1) will increase the transmission line's transfer capacity, which is fundamental to the wider program to support the wider integration of renewables into the national grid and, in particular, renewables in the northwest region;
- The Navoi-Bash-Muruntau LILO (Lot 2) is an essential connection between planned Navoi Substation and existing Navoi-Besopan OHTL for greater stability and transmission capacity, Planned OHTL will:
- Planned OHTL will:
- 1. Increase transfer capacity
- 2. Higher efficiency
- 3. Reduce losses

Lot 1 - Sarimay-Dzhankeldy 500kV OHTL



Grievance mechanism

For further information regarding the Project and for submission of comments or complaints as well as providing feedback regarding the Project please contact the numbers indicated on cover page. The GRM is absolutely free of charge, transparent and without any retribution to those who use it.

Biodiversity risks and impacts

- For Lot 1 22 km section of the OHTL route has been identified as an important habitat for the Southern Even-Fingered Gecko;
- ESIA has identified a strategy to minimise this risk (avoid takyrs as much as possible) and develop enhancement measures to demonstrate net gain,
- The ESIA has outlined protection measures for the McQueens Bustard, Russian Tortoise, Caspian Monitor, Goitered Gazelle;
- Pre-construction surveys to relocate two plant species with protected status (Acanthophyllum cyrtostegium and Tulipa lehmanniana) will be conducted before works commence.
- Operational avifauna risk (collision risk and electrocution) can be managed through appropriate design choices (bird diverters and Raptor safe pylon designs), and these will be incorporated into the design specification and EPC contracts.
- The Project does not impact any nationally or international species of conservation significance.
- Further warm season and migratory season biodiversity survey work is planned to confirm the assumptions in this ESIA relating to reptiles, mammals, flora and avifauna and the status of the SEFG as a critical habitat (as per EBRD PR6)

Impacts to livelihood

- The Project will not require any physical relocation of buildings;
- Project activities with the potential to impact on the land use and involuntary resettlement include;
- Construction activities and temporary land take limiting access to grazing land.
- Permanent land take for foundations;
- Removal of vegetation for the right of way impacting grazing, medicinal or sacred plants;
- Livelihood impacts are not expected to be significant and livelihood restoration measures are outlined in the Project Land Acquisition and Livelihood Restoration Framework to address loss of land or income resulting from the construction works or the presence of the OHTL

Lot 2 - Bash-Navoi-Muruntau 500kV OHTL



Possible E&S impacts and solutions

- The Project is susceptible to climate related risks on physical infrastructure and worker health which can be managed through climate resilient design choices and appropriate emergency response planning;
- Sustainable land clearance practices and rehabilitation and restoration actions will be implemented to ensure disturbed areas of land are not degraded with the potential for erosion and loss of habitat;
- The Project will be constructed to minimize impact on soils (compaction/degradation) so as to retain soil structure;
- A tailored archaeological survey (for Lot 1) and monitoring program will be developed implemented to address potential to impact on any unknown archaeological artifacts;
- A site waste management plan will be required for each phase of the project to set out the plans for handling and to storing waste.
- Water for the construction phase will be sourced from a suitable source that will not impact on the availability of water in the area;
- Measures have been identified to ensure a negligible impact on air quality, noise, groundwater levels and transportation infrastructure in the local region and these will be managed via dedicated construction management plans;
- EMF risks are deemed to be negligible and awareness raising activities will be performed with herders to reduce exposure when grazing investock in the right of way.
- Labour matters and supply chain matters are crucial to the successful development of the Project and can only be managed where key obligations on suppliers in contractual documentation as outlined in the ESIA;
- Local employment and procurement will be prioritized. The numbers of employment opportunities will be low. Local communities will be advised if any employment or procurement opportunities arise;
- Labour influx is not expected to be significant, due to the small number of employment positions available for the Project. However, it will be monitored and mitgations measures put in place should an influx occur;
- Community health and safety risk are deemed to be low however the Project will implement awareness raising activities to inform local community members of key risks and the Project grievance mechanism that can be used to raise concerns.
- Occupational health and safety, emergency and communicable disease (such as COVID-19) risks will be identified at the commencement of construction and plans and training provided to workers and community members as necessary.
- All workers will be required to sign a workers code of conduct that includes obligations for recognizing the potential for gender based violence and harassment (GBVH) risks that could be exacerbated by the Project.

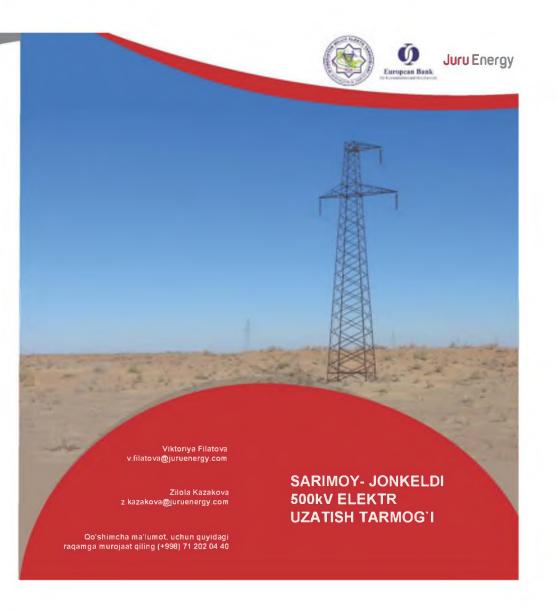
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b) Uzbek version

LOYIHA HAQIDA MA'LUMOT

Yevropa tiklanish va banki (YeTTB) taraqqiyot "O'zbekiston hamda milliy elektr tarmoqlari"ga (O'MET) 127 km uzunlikda boʻlgan Sarimoy (Xorazm viloyati) va Jonkeldi (Buxoro viloyati) aholi joylari yashash o'rtasida viloyatida hamda Buxoro qurilishi rejalashtirilayotgan 500kV yangi ochiq uzatish podstansiyasi atrofida yangi elektr uzatish tarmog'i (Loyiha) qurilishini moliyalashtirish uchun korporativ kredit berilishini ko'rib chiqmoqda



UZB: Sarimay-Dzhankeldy Transmission - Sarimay Substation Expansion Stakeholder Engagement Plan v 5.0

Loyihadan kutilayotgan ijobiy ta'sirlar

- Elektr uzatish sig'imining oshishi;
- Elektr ta'minotining yuqori samaradorligi;
- Elektr energiyasidagi yo'qotishlarni bartaraf etish;

- Rejalashtirilgan Sarimoy-Jonkeldi 500kV havo elektr uzatish tarmogʻi shimoli-gʻarbiy mintaqani barqaror elektr bilan ta'minlaydi;

 Mavjud 220kV havo elektr uzatish tarmogʻi uchun muqobil (agar mavjud tarmoq biror sababga koʻra ishlamasa, rejalashtirilgan 500kV havo elektr uzatish tarmogʻi iste'molchilarni uzluksiz elektr energiyasi bilan ta'minlashni davom ettiradi);

 Sarimoy-Jonkeldi 500kV havo elektr uzatish tarmogʻi qayta tiklanadigan energiyani milliy tarmoqqa va ayniqsa, shimoli-gʻarbiy mintaqada yanada kengroq integratsiyalashuvini qoʻllab-quvvatlash dasturi uchun muhim boʻlgan elektr uzatish tarmogʻining samaradorligini oshiradi.

Havo elektr uzatish tarmogʻining yoʻnalishlari: Havo elektr uzatish tarmogʻining 1- yer maydoni Sarimoy-Jonkeldi yoʻnalishi boʻylab 127 km uzunlikda quriladi.



Havo elektr uzatish tarmogʻining 2-yer maydoni uchun ajratilgan mintaqa Navoi-Bash-Muruntau Buxoro viloyati Gʻijduvon tumani Oyoqogʻitma va Koʻklam qishloqlari yaqinida quriladi.

Biologik xilma-xillikka kutilayotgan ta'sirlar:

 Loyihaning 1-yer maydoni bo'ylab, yani 22 km qismi Janubiy hatto barmoqli Gecko uchun muhim yashash joyi sifatida aniqlandi. Atrof muhitga ta'simi baholashda ushbu havfni kamaytirish uchun tegishli o'rganish ishlari olib borilmoqda;

 Atrof muhitga ta'simi baholashda ta'sir ostida bo'lgan hayvonlarni, ya'ni toshbaqa, jayron kabilarga nisbatan himoya choralarini ishlab chiqadi;

- O'simliklar olamiga, ya'ni noyob turlarga nisbatan (masalan Acanthophyllum cyrtostegium va Tulipa lehmanniana) himoya choratarini ishlab chiqadi;

 Qushlarga bo'lgan ta'sirlar (to'qnashuv xavfi va elektr quvvati) monitoring va o'rganish ishlari natijasiga ko'ra tegishli himoya choralari ishlab chiqiladi;

 Ushbu Loyiha milliy yoki xalqaro darajada himoyaga olingan hayvonot yoki osimlik turlariga ta'sir qilmaydi.

Yashash manbalariga kutilayotgan ta'sirlar:

- Qurilish ishlari va tayanch ustunlari natijasida. yetkaziladigan zarar va yoʻqotishlar;

- Tayanchlar ostidagi yer maydoni doimiy muddatga olinadi;

 Qurilish jarayonida loyiha ta'siri ostidagi yer maydonlariga kirish va chorva boqish vaqtincha cheklanadi;

- Loyihada bino-inshootlarni ko'chirish talab qilinmaydi



Muhim ekologik va ijtimoiy ta'sirlar va vechimlar

Juru

 Loyiha iqlim oʻzgarishiga chidamli yechimlar va tegishli favqulodda vaziyatlarni bartaraf etishni rejalashtirish orqali boshqariladi;

 Yerni tozalashning barqaror usullari, shuningdek, buzilgan yer uchastkalari potentsial eroziya va yashash joylarini yo'qotish bilan buzilmasligini ta'minlash uchun tiklash choralari joriy etiladi;

 Loyihaning qurilish ishlari tuproq tarkibiga minimal ta'sir etgan holda amalga oshiriladi;

 1-yer maydoni uchun maxsus arxeologik tadqiqotlar ishlab chiqiladi va har qanday noma'lum arxeologik topilmalar uchun potentsial ta'simi bartaraf etish monitoring gilinadi:

- Loyihaning barcha bosqichlarida obyektda chiqindilarni boshqarish va ularni saqlash rejalari belgilanadi

- Qurilish uchun suv mintaqadagi mos va tegishli manbadan olinadi;

 Mahalliy mintaqada havo sifati, shovqin, yer osti suvlari darajasi va transport infratuzilmasiga ta'sirni kamaytirish chora-tadbirlari belgilanadi va maxsus boshqarish rejalari qo'llaniladi;

- Loyihaning chorvachilikka boʻlgan ta'sirini kamaytirish uchun informatsion tadbirlar oʻtkaziladi;

- Qurilish ishlariga asosan mahalliy aholini jalb qilish masalasi ustuvor bo'ladi;

 Loyiha jarayonida ishchi kuchi oqimi sezilarli bo'lmasligi kutilmoqda. Ammo qurilish ishlariga jalb qilish jarayonlari nazorat qilinadi.

Shikoyatlar mexanizmi

Loyiha haqida qo'shimcha ma'lumot olish, sharhlar yoki shikoyatlarni taqdim etish, shuningdek, loyiha haqida izoh berish uchun ustki sahifada ko'rsatilgan raqamlarga murojaat qiling. Shikoyatlar maxanizmi foydalanish uchun mutlaqo bepul va shaffof.

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3. Annex C: Project grievance form

a) English Version

| Ref | Nº | |
|-----|---|---|
| 1 | Name (indicate if complainant preferred to be anonymous) | Full name (if applicable): Gender: Age: Address (if applicable): Occupation (if applicable): I wish my identity not to be disclosed: |
| 2 | Contact information (need to specify the way to get back to complainant) | Mob phone: Fax: Email: Other (specify): |
| 3 | How compliant/ feedback/request was received and by whom | Phone call: Text/WhatsApp applications: Verbal communication: Letter/Email: Receiver's name: |
| 4 | Purpose of contact | Make a compliant: Give a feedback: Request information: Other (specify): |
| | Date application was received | Date: Time: |
| 5 | Text of applicant's message | |
| 6 | Response message (after | Dear |

| Ref | [÷] Nº | | | | | | |
|-----|---|---|--|--|--|--|--|
| | receipt of application) | We confirm that we have received your application. We would like to inform you that your application is under review. You will receive the response within two weeks of submission of the application. | | | | | |
| | | We also would like to inform you that you will get written response for the issues you have raised in your request. We will keep you updated. Thank you for your understanding. | | | | | |
| | | This message was delivered to the applicant by on at via | | | | | |
| 7 | Summary of the response provided to the applicant | | | | | | |
| 8 | Follow up actions required: | | | | | | |
| 9 | Date the application was closed | Date: | | | | | |

| The message was addressed by |
|-------------------------------|
| Date/Month/Year |
| The response was delivered by |
| Date/Month/Year |
| Signature and stamp |

b) Uzbek version

| Ref | Nº | |
|-----|--|---|
| 1 | Ism (ariza beruvchi anonim bo'lishni istasa ko'rsating) | F.I.Sh: Jinsi: Yoshi: Manzil (agar mavjud boʻlsa): Kasbi (agar boʻlsa): Mening shaxsim oshkor qilinmasligini istayman: |
| 2 | Bog'lanish uchun ma'lumot (ariza beruvchiga javob yollash uchun koʻrsatish kerak) | Uyali aloqa: Faks: Elektron pochta: Boshqa (aniqlashtiring): |
| 3 | Ariza kim tomonidan v anima orqali qabul qilindi | Telefon orqali murojaat: Telegram/ WhatsApp yoki boshqa vositalar orqali: Og'zaki: Xat/electron pochta: Qabul qilgan shaxs: |
| 4 | Murojaat mazmuni | Shikoyat: Fikr bildirish: Qo'shimcha ma'lumot sorash: Boshqa (aniqlashtiring): |
| | Murojaat qabul qilingan sana | Sana: Vaqt: |
| 5 | Murojaat mazmuni | |
| 6 | Murojaat qabul qilinganligi to'g'risida ma'lumot (ariza qabul | Hurmatli Sizning murojaatingiz qabul qilindi hamda qo'rib |

| Re | [†] № | |
|-----|--|--|
| | qilinganidan so'ng) | chiqish uchun tegishli ma'sullarga yo'llandi. Murojaatingizga ikki, yoki bir hafta ichida javob yo'llanadi. |
| | | Murojjat bo'yicha xabarlarni siga o'z vaqtida yetkazib turamiz. Hamkorligingiz uchun minnatdorchilik bildiramiz. |
| | | Ushbu ma'lumot ariza beruvchiga (sana) tomonidan |
| | | orqali yetkazildi |
| 7 | Ariza beruvchiga berilgan javobning qisqacha mazmuni | |
| 8 | Qo'shimcha ma'lumot yoki boshqa harakatlar: | |
| 9 | Murojaatni xal etilgan sana | Sana: |
| Mur | ojaatga ja∨b tayyorlagan ma'sul _ | |
| San | a/Oy/Yil | |
| Mur | ojaat javobini yo'llagan ma'sul | |
| San | a/Oy/Yil | |
| Imz | o va muhr | |

4. Annex D: Project grievance log Template

| Application ID | Received Date | Name of applicant, if applicable | Contact Details if applicable | Preferred Language | Requested Anonymity | Summary of application | Responsible Person for responding | Actions to be undertaken | Due date | Results of the Actions | Closing date | Evidence (if applicable) |
|-------------------|------------------|---|-------------------------------------|-----------------------|------------------------|------------------------------|---|--------------------------------|----------|------------------------|-----------------|--------------------------------|
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5. Annex E: Stakeholder engagement log (Template)

6. Annex F: Stakeholder engagement log¹⁰

| Date | Location of meeting (if relevant) | Name and organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|---------------------------|--|---|---------------------|---|--|---|
| October 12, 2021 | In the office of the khokimiyat | Deputy mayor of the Tuprokkala district khokimiyat | 2 | Face-to-face meeting | Project leaflet | |
| October 12, 2021 | In the makhalla committee office | Nukus community leader | 2 | Face-to-face meeting | Project leaflet | |
| October 12, 2021 | Nukus school | Nukus school teachers | 2 | Face-to-face meeting | Project leaflet | |
| October 12-13, 2021 | Near the entrance of the Sarimay substation | Engineer, Electrician and Technical staff – Sarimay substation | 4 | Face-to-face meeting | Project leaflet | |
| October 12-16, 2021 | Near the herders' settlement | Local herders | 4 | Face-to-face meetings | Project leaflet | |
| October 13, 2021 | Near the water station office | Head engineer and two technical staff members – Water station of Navoi Mining Company | 3 | Face-to-face meeting | Project leaflet | |
| October 13, 2021 | In the makhalla committee office | Sarimay community leader | 3 | Face-to-face meeting | Project leaflet | Leaflets were also distributed to community members |
| November 12, 2021 | Near the herder's settlement | Herder 1 (Sultonmurodov Jakhsimurot) | 1 | Face-to-face meeting and survey | Project leaflet | |

¹⁰ To be continuously updated throughout the Project implementation

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| Date | Location of meeting (if relevant) | Name and organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|----------------------|---|--|------------------------|---|--|-------|
| November 13, 2021 | n/a | Department of Makhalla and Family Support under Ministry of Makhalla and Family of Republic of Karakalpakstan | 2 | Phone call | None | |
| November 15, 2021 | In the makhalla committee office | Dzhankeldy village community leader | 2 | Face-to-face meeting and survey | Project leaflet | |
| November 15, 2021 | Individual households | Dzhankeldy community members | 30 | Surveys undertaken with community members | Project leaflet | |
| November 15, 2021 | Kalaata village | Kalaata village community leader | 2 | Face-to-face meeting and survey | Project leaflet | |
| November 15, 2021 | Individual households | Kalaata community members | 4 | Surveys undertaken with community members | Project leaflet | |
| November 16, 2021 | Near the herder's settlement | Herder 2 (Omonkul Koshmuradov) | 1 | Face-to-face meeting and survey | Project leaflet | |
| November 16, 2021 | Near the herder's settlement | Herder 3 (Bozorbekov Kenjabek's wife)) | 1 | Face-to-face meeting and survey | Project leaflet | |
| November 17, 2021 | Sarimay village | Sarimay community leader | 2 | Face-to-face meeting and survey | Project leaflet | |
| November 17, 2021 | Individual households | Sarimay community members | 121 | Surveys undertaken with community | Project leaflet | |

| Date | Location of meeting (if relevant) | Name and organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|----------------------|---|---|------------------------|---|---|-------|
| | | | | members | | |
| November 19, 2021 | In the makhalla committee office | Nukus community leader | 3 | Face-to-face meeting | Project leaflet | |
| November 19, 2021 | Individual households | Nukus community members | 60 | Surveys undertaken with community members | Project leaflet | |
| November 22, 2021 | n/a | Herder 3 (Bozorbekov Kenjabek) | 1 | Phone call | None | |
| November 25, 2021 | n/a | State Committee of the Republic of Uzbekistan on Ecology and Environmental Protection | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | In the office of khokimiyat | Khorezm khokimiyat | 3 | Face-to-face meeting | Project leaflet | |
| November 26, 2021 | n/a | Bukhara khokimiyat | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | Ministry of Employment and Labour Relations of the Republic of Uzbekistan | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |

| Date | Location meeting relevant) | of (if | Name and organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|----------------------|----------------------------------|-----------|---|---------------------|---|---|-------|
| November 26, 2021 | n/a | | Ministry of Health (or MHRUz) | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | | Institute of Archaeology under Academy of Sciences of the Republic of Uzbekistan | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | | National Centre for Archaeology | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | | Agency of Cultural Heritage under Ministry of Sport and Tourism of the Republic of Uzbekistan | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | | "Uztransgas" JSC | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |

| Date | | of Name and (if organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|-------------------------------|-----|--|---------------------|---|---|-------|
| November 26, 2021 | n/a | "Uztelecom" JSC | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | Navoi mining company (Water station of Navoi Mining company) | | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| November 26, 2021 | n/a | JSC "O'zbekiston temir yo'llari" | | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| December 6 and 10, 2021 | n/a | Navoi mining company | 3 | Phone call | None | |
| December 10, 2021 | n/a | JS "Uzbekistan Railways", | n/a | Business correspondence | Project information, and proposed routes for Lots 1&2 with coordinates in letter | |
| December 10, 2021 | n/a | Specialist of Soviet of Ministers of Karakalpakstan | | Phone call | None | |

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| Date | Location of meeting (if relevant) | Name and organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|---------------------------|--|--|---------------------|---|--|-------|
| | | Republic | | | | |
| January 10, 2022 | In the office of municipality | Gijduvan district municipality officials (Lot 2) | 7 | Public meeting | NTS/ Project presentation and brochures | |
| January 10 , 2022 | In the office of municipality | Peshku district municipality officials (Lot 1) | 11 | Public meeting | NTS/ Project presentation and brochures | |
| January 11, 2022 | Kallaata village school | Kalaata male community members | 7 | Public meeting | NTS/ Project presentation and brochures | |
| January 11, 2022 | Kallaata village school | Kalaata female community members | 8 | Public meeting | NTS/ Project presentation and brochures | |
| January 11, 2022 | Courtyard of local school in Dzhankeldy village | Dzhankeldy male community members | 23 | Public meeting | NTS/ Project presentation and brochures | |
| January 11, 2022 | Local kindergarten in Dzhankeldy village | Dzhankeldy female community members | 12 | Public meeting | NTS/ Project presentation and brochures | |
| January 11-13, 2022 | Near the herders' settlements | Herders 1-4 (along Lot 1 and Lot – 2) | 4 | Face-to-face meetings | Project presentation and brochures | |
| January 12, 2022 | Local school in Nukus village | Nukus male community members | 10 | Public meeting | NTS/ presentation / Project brochures | |
| January 12, 2022 | Local school in Nukus village | Nukus female community members | 8 | Public meeting | NTS/ presentation / | |

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| Date | Location of meeting (if relevant) | Name and organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | Information disclosed (NTS/leaflet etc) | Notes |
|----------------------|--|--|---------------------|---|--|---|
| | | | | | Project brochures | |
| January 12, 2022 | Local school in Sarimay village | Sarimay male community members | 12 | Public meeting | NTS/ Project presentation and brochures | |
| January 12, 2022 | Makhalla committee/depar tment on women affairs | Representative of the Unit on women affairs in Makhalla committee | 2 | Face-to-face meeting | NTS/ Project presentation and brochures | The representative informed JE that women in Sarimay do not attend public meetings. |
| January 12, 2022 | Sarimay village | Women from Sarimay community that were met while walking the village | 4 | Village walk- through | Brochures | |
| January 12, 2022 | In the office of the municipality | Tuprokkala municipality officials (Lot 1) | 14 | Public meeting | NTS/ Project presentation and brochures | |
| February 10, 2022 | n/a | Navoi mining combinat | 2 | Phone call | n/a | We received written response to the letter which was sent on December |
| February 14, 2022 | n/a | State Committee on Sericulture and Wool Development Industry of the Republic of Uzbekistan | n/a | Business correspondence | Project information and location of proposed routes for Lot 1 and Lot 2 | Dzhankeldy LLCs |

| Date | | f Name and f organisation of receptor | Number of attendees | Type of engagement (face to face/ / telephone call/ social media) | disclosed | Notes |
|-------------------|-----|--|------------------------|---|--|---|
| | | | | | | chance to meet with them, JE sent a letter to the Committee |
| March 10, 2022 | n/a | State Committee on Sericulture and Wool Development Industry of the Republic of Uzbekistan | n/a | Business correspondence | n/a | JE requested, and received, further information on the resettlement process for land allocation. |
| May 17, 2022 | n/a | JS "Uzbekistan Railways" | n/a | Business correspondence | n/a | Uzbekistan Railways provided technical information related to construction of the OHTL. |
| May 25, 2022 | n/a | Owners of mining areas | n/a | Phone call conversation | Project information and location of proposed routes for Lot 1 and Lot 2 | status and ownership of the four mines |

Annex G: Photographs of engagement¹

a) Public disclosure at Kalaata village



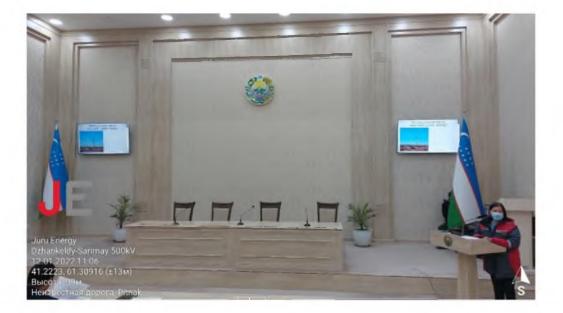
¹¹ Note: This information is to be removed for document disclosure.



b. Public meeting at Gijduvan municipality







d. Public meeting with Sarimay community







e. Brochure distribution among females in Sarimay community



f. Meeting with Peshku municipality staff





g. Distribution of brochures for vulnerable households in Yangibazar city, Peshku district



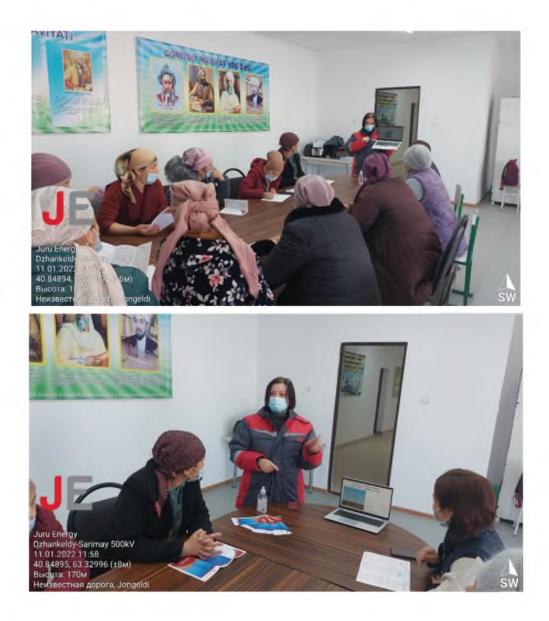
h. Public meeting with men in Nukus community



i. Meeting with women in Nukus community



j. Meeting with women in Kalaata village



k. Meeting with women in Dzhankeldy village



I. Meeting with men in Dzhankeldy village



m. Meeting with herders



Meeting with Farkhod Khamroyev (H4) (Lot 2)



Meeting with Kenjabek H2 (Lot 1)



Meeting with Jaksimurot Sultonmurodov H1 (Lot 1)



Delivering brochure to Omonkul Kushmuradov's wife H3 (Lot 1)

Annex G: Meeting attendance sheets¹²

Attendance list: Kalaata village male

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Attendance list

- 1. Bazarbekov Atdihal signed
- 2. Muratbaev Mairan signed
- 3. Jumabaev Raimbay signed
- 4. Kulnazarov Turakbay signed
- 5. Bazarbekov Kenjebek signed
- 6. Bazarbekov Abdiali signed
- 7. Polatov Afdak signed

¹² Note: This information is to be removed for document disclosure.

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Attendance list: Gijduvan municipality

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Name of Project: Sarimay-Dzhankeldy 500 kV OHTL Date: 10/01/2022 Name of territory and place: Gijduvan district municipality

| N o | Name of participants | Name of organization, makhalla | Contact numbers | Signature |
|--------|----------------------|--|--------------------|-----------|
| 1 | Juraev Tolip | Regional department of NEGU JSC | 998934777010 | Signed |
| 2 | Akhrorov Sherzod | Mass media | 998997051444 | Signed |
| 3 | Takhirov Muzaffar | Department of Investment of Gijduvan district municipality | 998997478299 | Signed |
| 4 | Latipov Nodir | Department of Investment of Gijduvan district municipality | 998905141137 | Signed |
| 5 | Amanov Jakhongir | Department of gas supply in Gijduvan district municipality | 998907114411 | Signed |
| 6 | Rustamov Aziz | Electricity department of Gijduvan municipality | 998914101646 | Signed |



| 7 | Burkhanova Zukhra | Employment assistance centre | 998914093274 | Signed |
|---|-------------------|---|--------------|--------|
| 8 | Tursunov Sherzod | Representative of district municipality | 998907447002 | Signed |

Attendance list: Tuprokkala municipality







Jamoatchilik uchrashuvi ishtirokchilar RO'YXATI

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Attendance list

- 1. Ollaberganov Navruzbek signed
- 2. Khojashov Ganijon signed
- 3. Sativoldiev Shonazar signed
- 4. Kurbonov Gayrat signed
- 5. Ollayorov Farhod signed
- 6. Yakubov Kazbek signed
- 7. Rajabov Mardonbek signed
- 8. Komilov Asadbek signed
- 9. Rakhimov Murodjon signed
- 10. Abdusharipov Sarvarbek signed
- 11. Nurberdiev Madat signed
- 12. Jumaniyozov Jamalbek signed
- 13. Yakubov San'at signed
- 14. Alimov Temur signed

Attendance list: Sarimay village_male







Jamoatchilik uchrashuvi ishtirokchilar RO'YXATI

| No | Ishtirokchining ismi va familiyasi | Tashkilot/mahalla nomi | Telefon/mobil aloqa raqami | Izmo |
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Attendance list

- 1. Kurbanov Islam
- 2. Khamidov Rasul
- 3. Sabirov Djumaniyaz
- Durdiev Garli
- 5. Niyazov Davletbay
- 6. Saidmuradov Amankeldi
- 7. Saipov Meriniyaz
- 8. Turaev Djumadurdi
- 9. Turaev Tokhir
- 10. Durdiev Amankeldi signed
- 11. Gaipov Tukhtamurat signed
- 12. Mamedov Ruzikul signed
- 13. Kaylakov Boynazar signed

Attendance list: Peshku municipality







Jamoatchilik uchrashuvi ishtirokchilar RO'YXATI

| No | Ishtirokchining ismi va familiyasi | Tashkilot/mahalla nomi | Telefon/mobil aloqa raqami | Izmo |
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Name of Project: Sarimay-Dzhankeldy 500 kV OHTL

Date: 10/01/2022

Name of territory and place: Peshku district municipality

| N o | Name of participants | Name of organization, makhalla | Contact numbers | Signature |
|--------|-----------------------------------|--|--------------------|-----------|
| 1 | Kuchkarov Aslan Bakievich | Regional ecological party | 998993517815 | Signed |
| 2 | Khalilov Abdushavkat | Employment assistance centre of Peshku district | 998994139278 | Signed |
| 3 | Kurbanov Gulamdzhan Usmanovich | Peshku TV | 998997326121 | Signed |
| 4 | Tillaev Sukhrob Salom ugli | Inspection of Ecology and Environmental Protection | 998936849871 | Signed |
| 5 | Achilov Sardor Ataevich | Peshku district municipality department of economic | 998995037793 | Signed |

| | | development | | |
|----|--------------------|--|--------------|--------|
| 6 | Nurullaev Bakhodir | | 998934756015 | Signed |
| 7 | Tuxsanov Uktam | | 998904166771 | Signed |
| 8 | Ruziev Fatkhullo | Deputy of district governor | 998973089894 | Signed |
| 9 | Sharapova Khurmat | Newspaper "Voice of Peshku" | 998942422008 | Signed |
| 10 | Juraev Tolip | | 998934777010 | Signed |
| 11 | Ismatov Iskandar | Peshku district municipality department of Investment | 998996720027 | Signed |

Attendance list: Nukus village_men







Jamoatchilik uchrashuvi ishtirokchilar

RO'YXATI

| Loyihaning nomi: Sarimoy-Jonkeldi 500kV havo elektr uzatish tarmog'i O'tkazilgan sanasi: 12-101/2022 Hudud va joy nomi: Comment Marco Marc | | | | | |
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| 12. | Паникульнова Гулиат | | 204-909-65-80 | Auto | |

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Attendance list

- 1. Abdirimov Otabek signed
- 2. Rakhimov Khamdam signed
- 3. Kurbanov Ozod signed
- 4. Djumayev Rejepbay signed
- 5. Bayjanov Arazmamet signed
- 6. Djumaev Djangeldi signed
- 7. Durdiev Makhmet signed
- 8. Sapartaganov Polat signed
- 9. Djumaev Bakhtiyar signed
- 10. Toraev Makaat signed

Attendance list: Nukus village_female

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UZB: Sarimay-Dzhankeldy Transmission - Sarimay Substation Expansion Stakeholder Engagement Plan v 5.0

Attendance list

- 1. Saparkulova Tajgul -signed
- 2. Glichdurdieva Gulrukh signed
- 3. Saburova Suray signed
- 4. Muratova Khumor signed
- 5. Jumakulieva Gulshat signed
- 6. Tuvakova Altingul signed
- 7. Meredova Gulzada signed
- 8. Annekova Ugiljan signed

Attendance list:Kalaata village_female





Juru Energy

Jamoatchilik uchrashuvi ishtirokchilar

RO'YXATI

| | id va joy nomi: Kanara Women | | | |
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| No | Ishtirokchining ismi va familiyasi | Tashkilot/mahalla nomi | Telefon/mobil aloqa raqami | Izmo |
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Attendance list

- 1. Begimbaeva Akknaz signed
- 2. Ermanova Perizat signed
- 3. Muratbekova Guljamal signed
- 4. Nurdullaeva Ilboy signed
- 5. Djalmuratova Aqjarqin signed
- 6. Berdenova Ayakoz signed
- 7. Uikik Jarqin signed
- 8. Uaysova Gulmat signed

Attendance list: Dzhankeldy village_female







Jamoatchilik uchrashuvi ishtirokchilar RO'YXATI

| Loyihaning nomi: | Sarimoy-Jonkeldi 500kV havo elektr uzatish tarmogʻi |
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O'tkazilgan sanasi: 11/101/2022 Hudud va joy nomi: Maarerege Women

| No | Ishtirokchining ismi va familiyasi | Tashkilot/mahalla nomi | Telefon/mobil aloqa raqami | Izmo |
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| 4 . | Mananmarka Tajuja Radutarbus | Proceedingy M. M.M. | 99- 402 - 86- 91 | Freil. |
| 5. | Annaesta AcEupa Tomarcala | Rouses upy | 99 709-23-10 | colored- |
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| 9. | Decarey 2800 los Armovas | PROUZONEN ODUKAJOIL | 55-702-70.53 | Among |
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| 2. | Kaqueska Amazyu | Moste renger le 90 re | 99-1709-03-91 | dante |

Attendance list

- 1. Narimbetova Aygerim signed
- 2. Baynazarova Aykoz signed
- 3. Kaytanazarova Jaylagul signed
- 4. Jamantayeva Gaziza signed
- 5. Aktayeva Nabira signed
- 6. Askarbekova Janar signed
- 7. Turbayeva Akbayan signed
- 8. Kushova Jannatsignet
- 9. Sundetbayeva Ch signed
- 10. Berdimuradova J signed
- 11. Kadirova Almagul signed

Attendance list: Dzhankeldy village_male







Jamoatchilik uchrashuvi ishtirokchilar

RO'YXATI

Loyihaning nomi: Sarimoy-Jonkeldi 500kV havo elektr uzatish tarmogʻi Oʻtkazilgan sanasi: 1/101/2022 Hudud va joy nomi: Mar nengu men

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Attendance list:

- 1. Turjanov Yerejel signed
- 2. Uvaysov Perdesh signed
- 3. Mardenov Nurgali signed

- 4. Navruzov Kurbanmurad signed
- 5. Tagaev Nurturdi signed
- 6. Turekeev Raman signed
- 7. Buribayev Kadir signed
- 8. Umirov Turkanbay signed
- 9. Tosatlev Juletkul signed
- 10. Kushov Mirzabay signed
- 11. Dunanbaev Altintek signed
- 12. Pulatov Jusip signed
- 13. Tulepbayev Altinmurad signed
- 14. Hojabayev Jantulla signed
- 15. Mirmankulov Kubey signed
- 16. Uaisov Sadek signed
- 17. Duisebayev Akilbek signed
- 18. Nurimjarov Agmet signed
- 19. Navruzov Altimurad signed
- 20. Nurtibekov Serik signed
- 21. Navruzov Altamurad signed
- 22. Kamalov Muhamed signed
- 23. Bekturganov Gaibitdin signed

Annex H: Minutes of meetings